

School on Flavour Physics

University of Bern, Switzerland, June 21 - July 2, 2010



Lectures

Flavour physics in the standard model

Augusto Ceccucci (CERN)
Overview of Kaon Physics

Sacha Davidson (Lyon, IPN)
Lepton flavour physics

Antonio Ereditato (Bern)
Neutrino experiments

Uli Haisch (Mainz)
Flavour physics beyond the standard model

Pilar Hernandez (Valencia)
Introduction to lattice QCD

Thomas Mannel (Siegen)
Effective theories for heavy quarks

Alan Schwartz (Cincinnati)
Recent results in B physics

Sheldon Stone (Syracuse)
LHCb physics

Hartmut Wittig (Mainz)
Recent lattice results

Tobias Hurth

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The lectures cover a selected numbers of topics in flavour physics, reflecting the flavour of the lecturer. The focus will be on the fundamental concepts.

- **Focus:** * neutrino physics * *B* meson physics

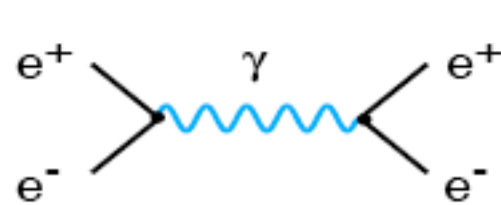
A complete coverage of the field can be found in recent books, reviews, reports and published lectures:

⇒ Reading list

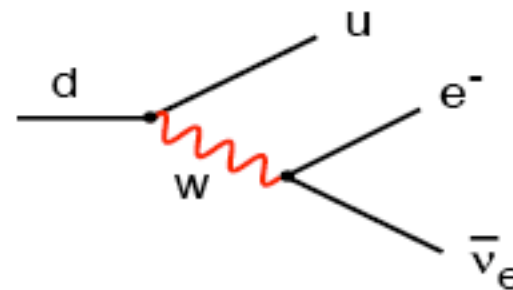
Prologue Standard Model of Elementary Particle Physics (SM)

- Fundamental forces in nature \Leftrightarrow Local gauge principle $U(1) \times SU(2)_L \times SU(3)$

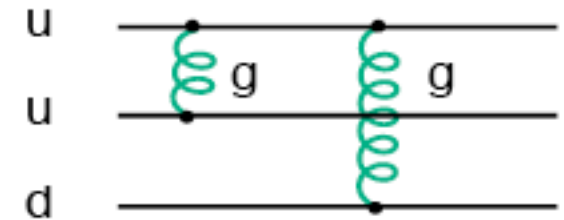
Electromagnetism (QED) Weak interactions Strong interactions (QCD) Gravity



e^+e^- -annihilation



β -decay



proton

- Building blocks of matter:**
fundamental leptons and quarks (left-handed doublets, right-handed singlets):

$$\begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} c \\ s \end{pmatrix}_L, \begin{pmatrix} t \\ b \end{pmatrix}_L, \quad u_R, d_R, c_R, s_R, t_R, b_R$$

$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L, \quad e_R^-, \mu_R^-, \tau_R^-, \nu_{eR}, \nu_{\mu R}, \nu_{\tau R}$$

- Flavour physics is that part of the SM which differentiates between the three families of fundamental fermions.

Main successes of SM:

- All gauge bosons ($J = 1$) and fundamental fermions ($J = \frac{1}{2}$) experimentally verified
- Electroweak precision measurements at LEP (CERN), SLC (SLAC), Tevatron (Fermilab) confirmed SM predictions in the gauge sector: 0.1% accuracy !

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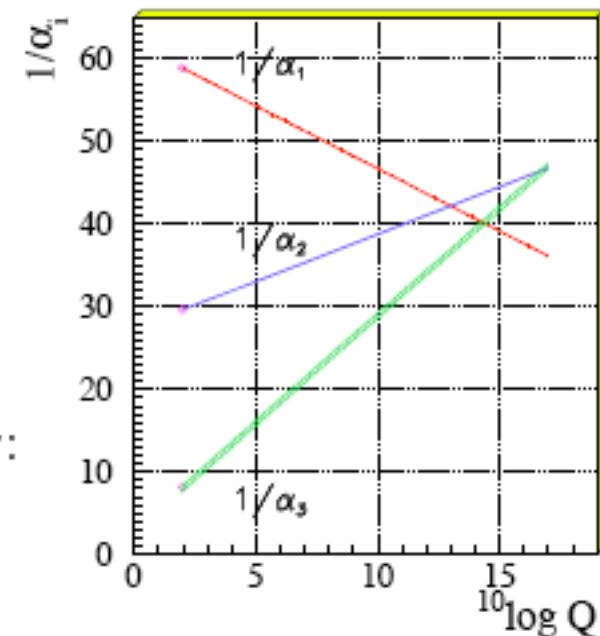
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Weaknesses of SM:

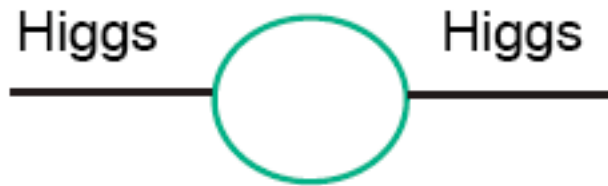
- Higgs boson not observed yet, mechanism of mass generation not confirmed yet (unitarity problem has to be solved)
- Many free parameters, mainly in the flavour sector of SM (hierarchy of masses and mixing parameters)
- Gravity not involved in unification (Planck scale)
- Unification of electromagnetic, weak and strong force.

Indications:

- quarks, leptons compatible with higher gauge symmetry: $U(1) \times SU(2)_L \times SU(3) \rightarrow SU(5)$ or $SU(10)$
- unification of coupling constants at higher scale

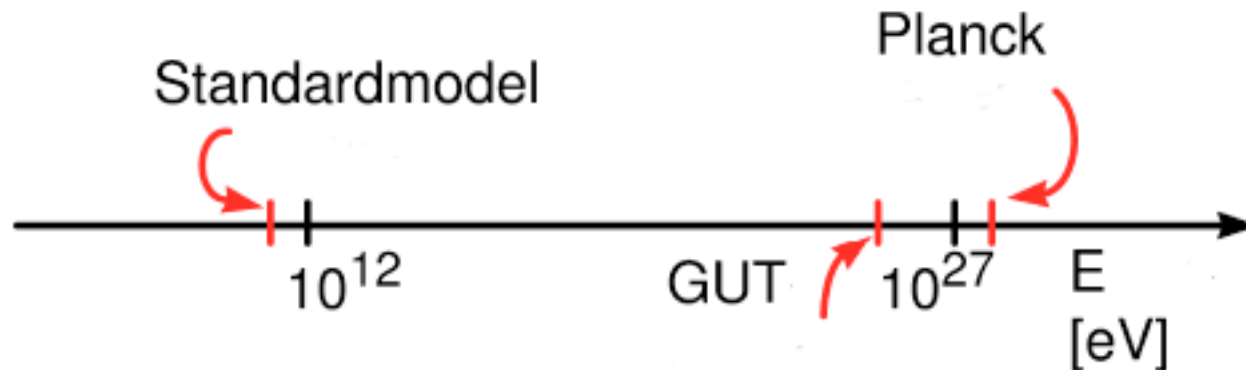


Hierarchy problem: Quantum corrections to Higgs boson mass:



$$m_H^2 \approx (m_H^2)_{\text{tree}} + 1/(16\pi^2)\Lambda_{\text{NP}}^2$$

⇒ Quadratic sensitivity to highest scale in the theory



After inclusion in larger theory: No stabilisation of the Higgs boson mass at the SM scale

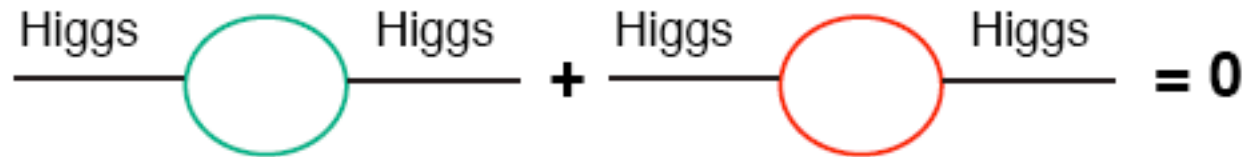
Comparison:

Photon and quark masses protected by gauge symmetry and chiral symmetry, respectively

Many solutions to the hierarchy problem on the market:

Little Higgs Models, Extra Dimensions, Supersymmetry,

- **Supersymmetry** offers most elegant solution for the hierarchy problem

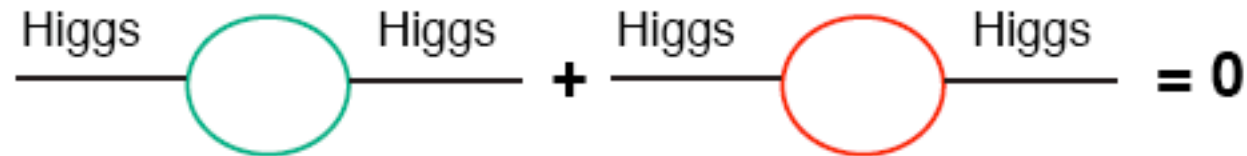


$$\delta m_H^2 \sim \Lambda_{\text{NP}}^2 \Rightarrow \delta m_H^2 \approx \log(M_{\text{stop}}/M_{\text{top}}); M_{\text{SUSY}} \leq 1 \text{ TeV}$$

- Generally to avoid fine-tuning of the Higgs mass (working hypothesis of LHC):

$$m_H^2 \approx (m_H^2)_{\text{tree}} + 1/(16\pi^2)\Lambda_{\text{NP}}^2 \Rightarrow \Lambda_{\text{NP}} \leq 4\pi m_W \approx 1 \text{ TeV}$$

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- However, electroweak precision measurements (LEP, SLC, Tevatron) **naturally** indicate a higher new-physics scale (parametrized by higher-dimensional operators):

Little hierarchy problem

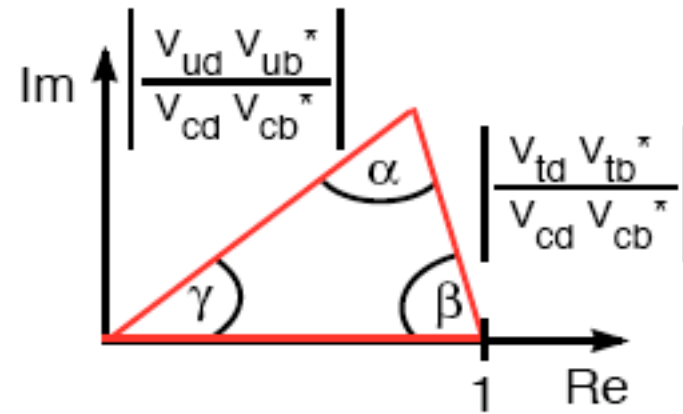
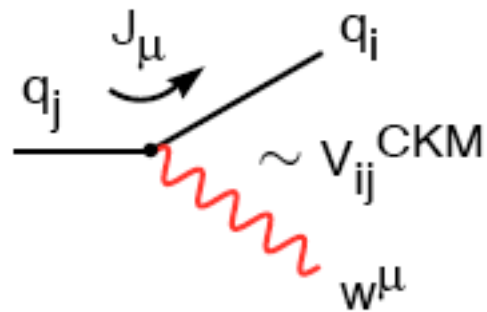
$$\Lambda_{\text{NP}} \approx 3 - 10 \text{ TeV}$$

Highly nontrivial constraint on the possible new physics in the LHC reach!

- There is yet another indirect way to look for new-physics beyond SM

First status report Flavour in the SM

CKM mechanism of flavour mixing and CP violation: V_{CKM} , J_{CKM}



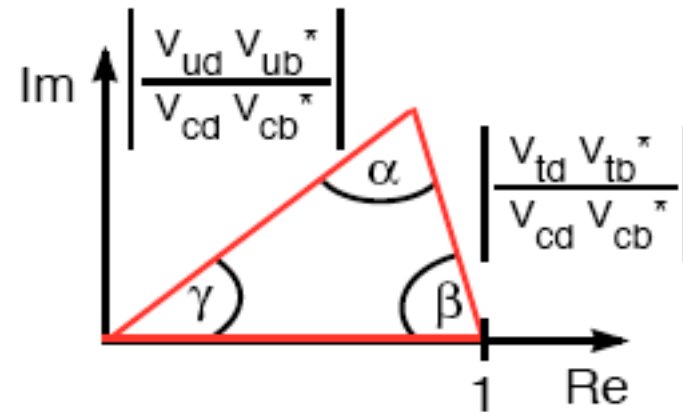
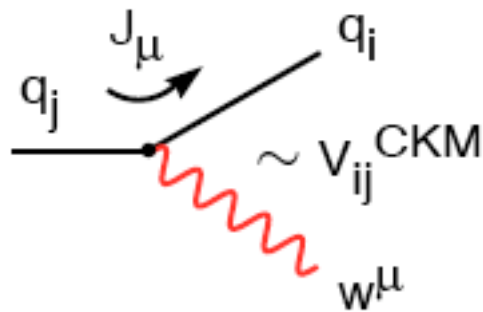
$$Im[V_{ij} V_{kl} V_{il}^* V_{kj}^*] = J_{CKM} \sum_{m,n=1}^3 \epsilon_{ikm} \epsilon_{jln}$$

$$J_{CKM} \sim \mathcal{O}(10^{-5})$$

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

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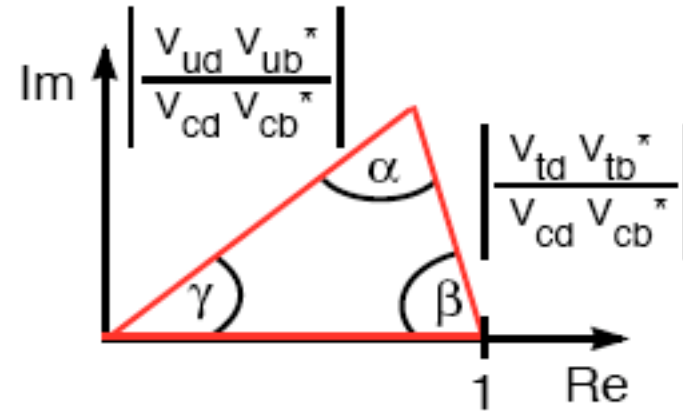
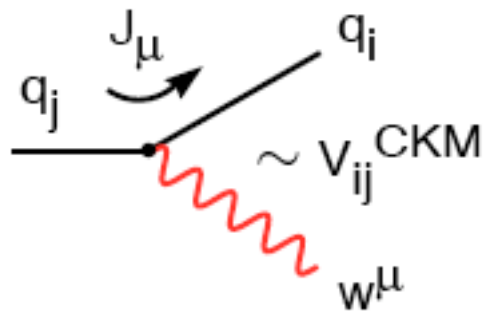
$$Im[V_{ij} V_{kl} V_{il}^* V_{kj}^*] = J_{CKM} \sum_{m,n=1}^3 \epsilon_{ikm} \epsilon_{jln} \quad J_{CKM} \sim \mathcal{O}(10^{-5})$$

All present measurements (BaBar, Belle, CLEO, CDF, D0,....) of rare decays ($\Delta F = 1$), of mixing phenomena ($\Delta F = 2$) and of all CP violating observables at tree and loop level are consistent with the CKM theory.

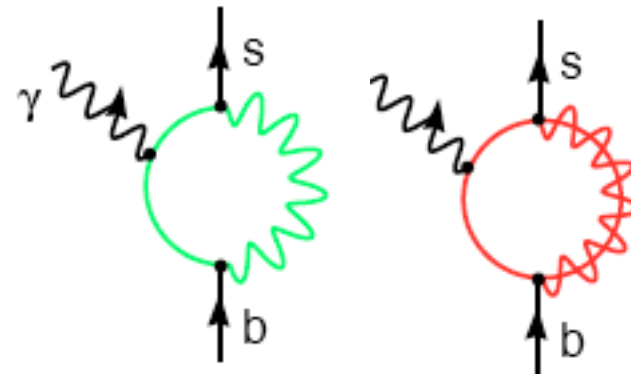
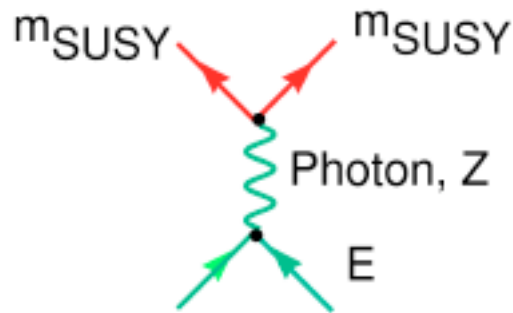
Impressing success of SM and CKM theory !!

First status report Flavour in the SM

CKM mechanism of flavour mixing and CP violation: V_{CKM} , J_{CKM}



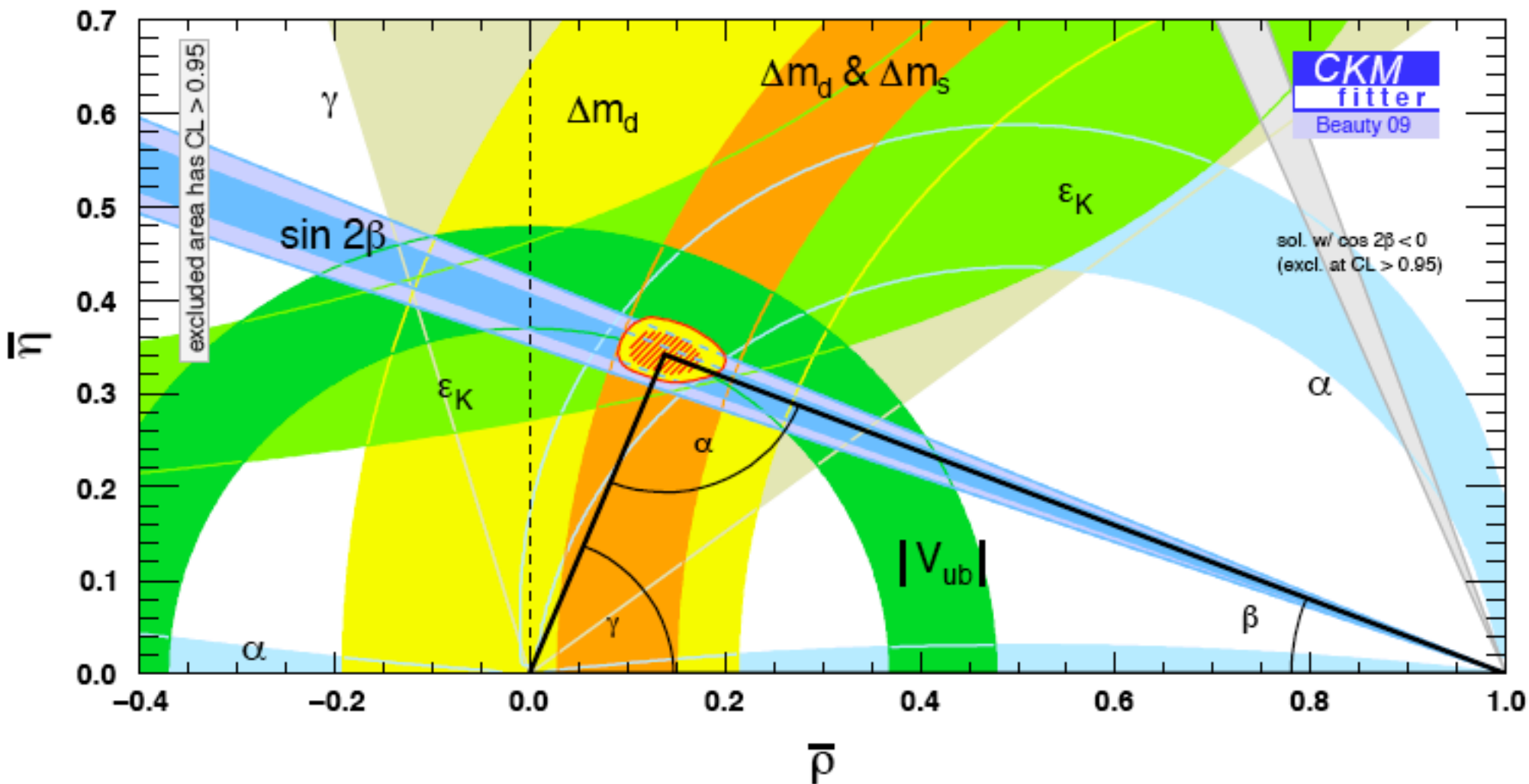
This success is somehow unexpected !!



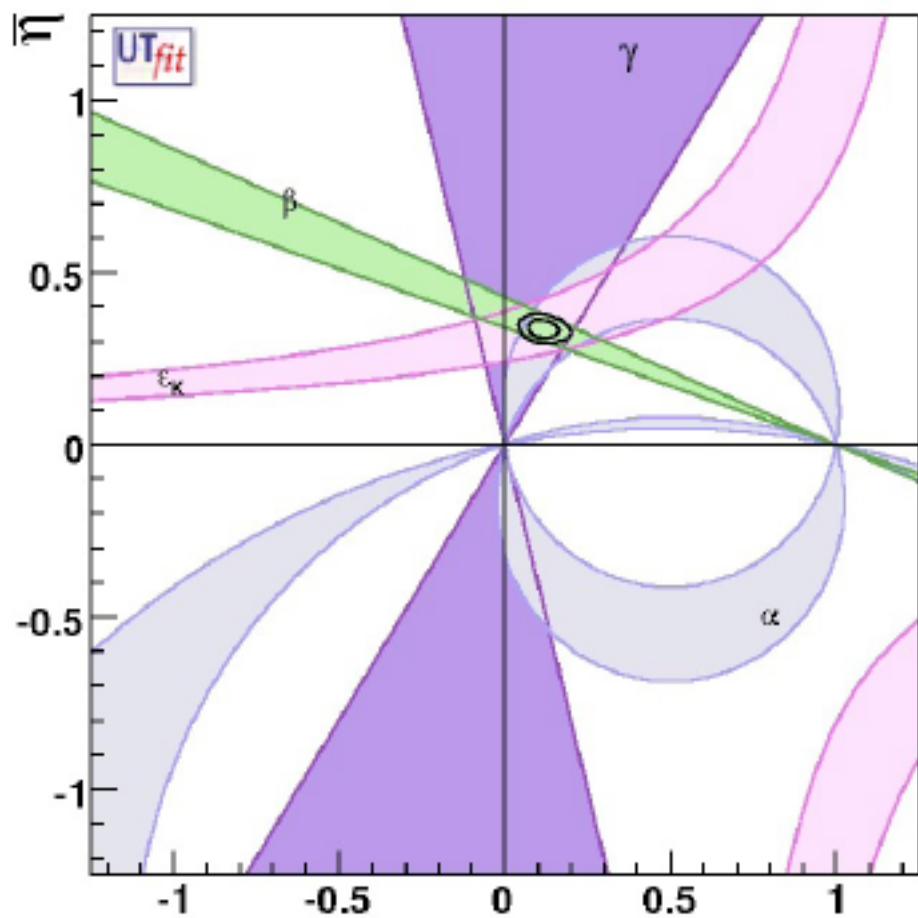
Flavour-changing-neutral-currents as loop-induced processes are highly-sensitive probes for possible new degrees of freedom

Impressing success of SM and CKM theory !!

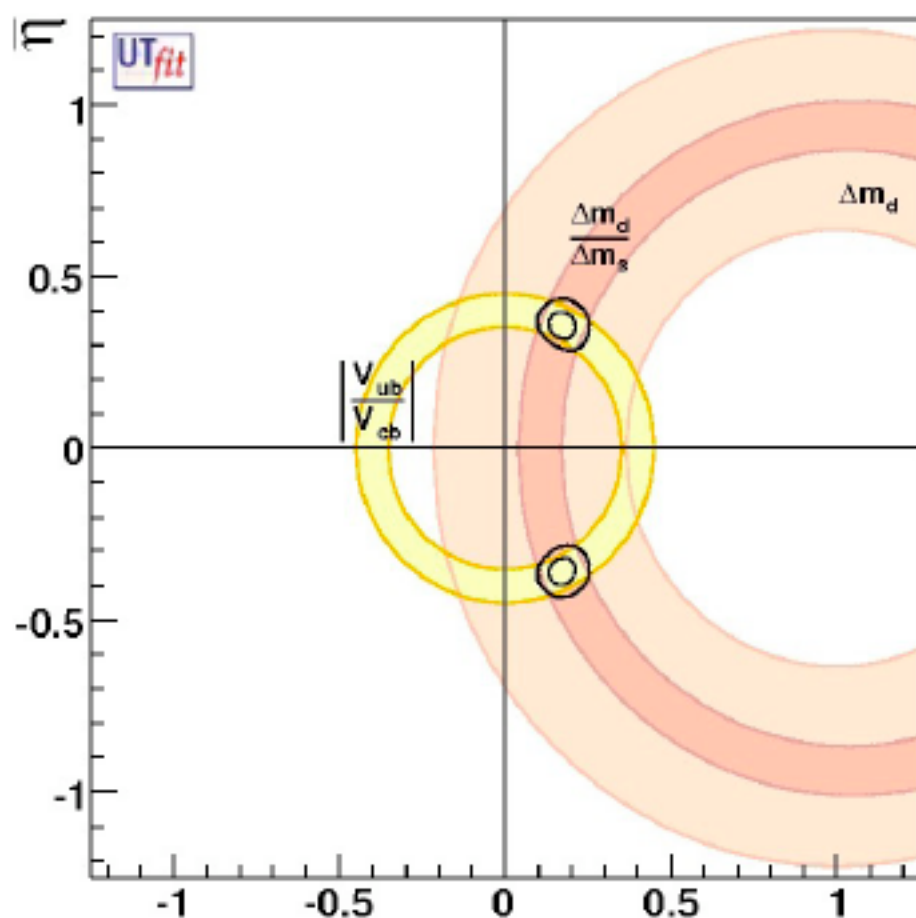
Global fit, consistency check of the CKM theory.



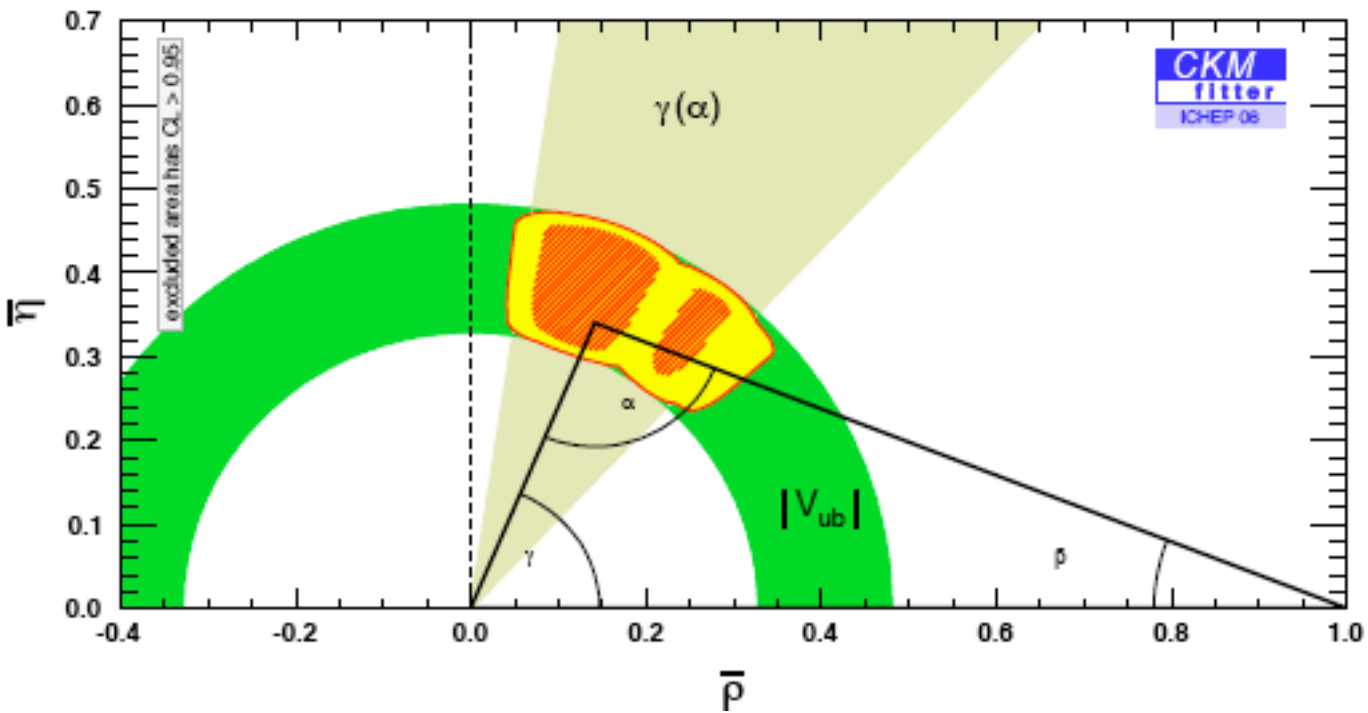
Closer Look:



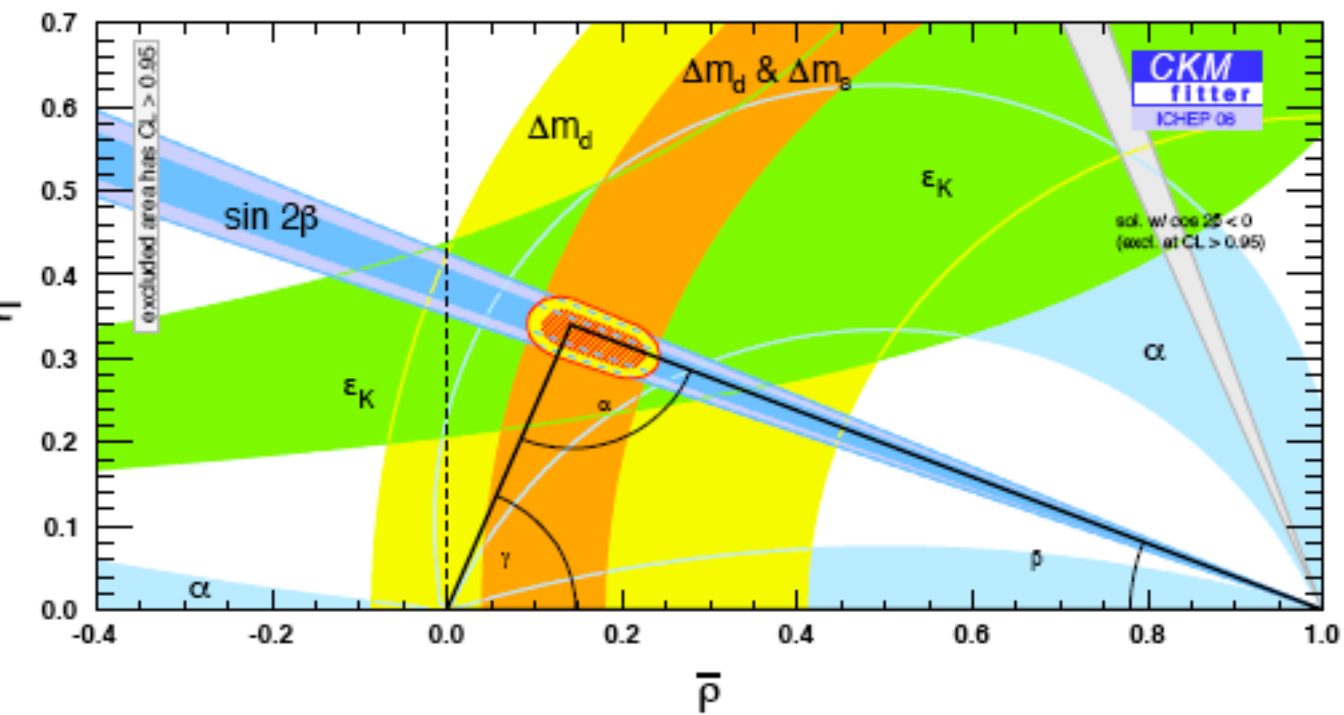
CP violating



CP conserving observables



Tree processes



Loop processes

Nobel Prize 2008



652

Progress of Theoretical Physics, Vol. 49, No. 2, February 1973

***CP*-Violation in the Renormalizable Theory of Weak Interaction**

Makoto KOBAYASHI and Toshihide MASKAWA

Department of Physics, Kyoto University, Kyoto

(Received September 1, 1972)

In a framework of the renormalizable theory of weak interaction, problems of *CP*-violation are studied. It is concluded that no realistic models of *CP*-violation exist in the quartet scheme without introducing any other new fields. Some possible models of *CP*-violation are also discussed.

CP-Violation in the Renormalizable Theory of Weak Interaction

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In a framework of the renormalizable theory of weak interaction, problems of CP-violation are studied. It is concluded that no realistic models of CP-violation exist in the quark sector without introducing any other new fields. Some possible models of CP-violation are also discussed.

When we apply the renormalizable theory of weak interaction^{1) to the hadron system, we have some limitations on the hadron model. It is well known that there exist, in the case of the triplet model, a difficulty of the strangeness changing neutral current and that the quartet model is free from this difficulty. Furthermore, Maki and one of the present authors (TM) have shown^{2) that, in the latter case, the strong interaction must be chiral SU(4) × SU(4) invariant as precisely as the conservation of the third component of the isospin I₃. In addition to these arguments, for the theory to be realistic, CP-violating interactions should be incorporated in a gauge invariant way. This requirement will impose further limitations on the hadron model and the CP-violating interaction itself. The purpose of the present paper is to investigate this problem. In the following, it will be shown that in the case of the above-mentioned quartet model, we cannot make a CP-violating interaction without introducing any other new fields when we require the following conditions: a) The case of the fourth member of the quartet, which we will call ζ, is sufficiently large, b) the model should be consistent with our well-established knowledge of the semi-leptonic processes. After that some possible ways of bringing CP-violation into the theory will be discussed.}}

We consider the quartet model with a charge assignment of Q, Q-1, Q-1 and Q for p, n, l and ζ, respectively, and we take the same underlying gauge group SU_{weak}(2) × SU(3) and the scalar doublet field φ as those of Weinberg's original model.^{3) Then, hadronic parts of the Lagrangian can be divided in the following way:}

$$L_{had} = L_{kin} + L_{mass} + L_{strong} + L,$$

where L_{kin} is the gauge-invariant kinetic part of the quartet field φ, so that it contains interactions with the gauge fields. L_{mass} is a generalized mass term of φ, which includes Yukawa couplings to ψ where they contribute to the mass of ψ through the spontaneous breaking of gauge symmetry. L_{strong} is a strong-inter-

action part which conserves I₃ and therefore chiral SU(4) × SU(4) invariant.^{4) We assume C and P invariance of L_{strong}. The last term denotes residual interaction parts if they exist. Since L_{mass} includes couplings with φ, it has possibilities of violating CP-conservation. As is known as Higgs phenomenon,^{5) these massless components of φ can be absorbed into the massive gauge fields and eliminated from the Lagrangian. Even after this has been done, both scalar and pseudoscalar parts remain in L_{mass}. For the mass term, however, we can eliminate such pseudoscalar parts by applying an appropriate constant gauge transformation on φ, which does not affect on L_{strong} due to gauge invariance.}}

Now we consider possible ways of assigning the quartet field to representations of the SU_{weak}(2). Since this group is commutative with the Lorentz transformation, the left and right components of the quartet field, which are respectively defined as φ_L = 1/2(1+i)φ and φ_R = 1/2(1-i)φ, do not mix each other under the gauge transformation. Then, each component has three possibilities:

- A) 4 = 2 + 2,
- B) 4 = 2 + 1 + 1,
- C) 4 = 1 + 1 + 1 + 1,

where the n, k, h, n' denotes an n-dimensional representation of SU(2). The present scheme of charge assignment of the quartet does not permit representations of n ≥ 3. As a result, we have nine possibilities which we will denote by (A, A), (A, B), ..., where the former (latter) in the parentheses indicates the transformation properties of the left (right) component. Since all members of the quartet should take part in the weak interaction, and size of the strangeness changing neutral current is bounded experimentally to a very small value, the cases of (B, C), (C, B) and (C, C) should be abandoned. The models of (B, A) and (C, A) are equivalent to those of (A, B) and (A, C), respectively, except relative signs between vector and axial vector parts of the weak current. Since p₁/p₂ ratios are measured only for composite states, this difference of the relative signs would be related to a dynamical problem of the composite system. So, we investigate in detail the cases of (A, A), (A, B), (A, C) and (B, B).

i) Case (A, C)

This is the most natural choice in the quartet model. Let us denote two SU_{weak}(2) doublets and four singlets by L₁, L₂, R₁⁺, R₂⁺, R₁⁰ and R₂⁰, where superscript p(s) indicates p-like (s-like) charge states. In this case, L_{mass} takes, in general, the following form:

$$L_{mass} = \sum_{i,j=1}^2 [M_{ij}^+ L_{1i} R_{1j}^+ + M_{ij}^0 L_{1i} R_{2j}^0] + h.c.,$$

$$p^i m \begin{pmatrix} p^i \\ p^i \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad (1)$$

ii) Case (A, A)

In a similar way, we can show that no CP-violation occurs in this case as far as L⁺ = 0. Furthermore this model would reduce to an exactly U(6) symmetric one.

Summarizing the above results, we have no realistic models in the quark sector as far as L⁺ = 0. Now we consider some examples of CP-violation through L⁺. Hereafter we will consider only the case of (A, C). The first one is to introduce another scalar doublet φ'. Then, we may consider an interaction with this new field

$$L' = \phi \phi' G \frac{1-i}{2} \tau_3 + h.c., \quad (1')$$

$$\phi = \begin{pmatrix} \phi^+ & \phi^0 & 0 & 0 \\ -\phi^0 & \phi^+ & 0 & 0 \\ 0 & 0 & \phi^+ & \phi^0 \\ 0 & 0 & -\phi^0 & \phi^+ \end{pmatrix}, \quad \phi' = \begin{pmatrix} \phi'_+ & \phi'_0 & \phi'_+ & 0 \\ 0 & \phi'_0 & 0 & \phi'_+ \\ \phi'_+ & \phi'_0 & \phi'_+ & 0 \\ 0 & \phi'_0 & 0 & \phi'_+ \end{pmatrix}$$

where c_i and d_i are arbitrary complex numbers. Since we have already made use of the gauge transformation to get rid of the CP odd part from the quartet mass term, there remains no such arbitrariness. Furthermore, we note that an arbitrariness of the phase of φ cannot absorb all the phases of c_i and d_i. So, this interaction can cause a CP-violation.

Another one is a possibility associated with the strong interaction. Let us consider a scalar (pseudoscalar) field S which mediates the strong interaction. For the interaction to be renormalizable and SU_{weak}(2) invariant, it must belong to a (4, 4⁺) + (4⁺, 4) representation of chiral SU(4) × SU(4) and interact with φ through scalar and pseudoscalar couplings. It also interacts with ψ and possible renormalizable forms are given as follows:

$$\text{tr}[G_i S^+ \phi^i] + h.c.,$$

$$\text{tr}[G_i S^+ \phi^i G_j \phi^j S] + h.c.,$$

$$\text{tr}[G_i S^+ \phi^i G_j G_k S^+ \phi^k] + h.c., \quad (1'')$$

with

$$G = \begin{pmatrix} G^+ & G^+ & 0 & 0 \\ -G^+ & G^+ & 0 & 0 \\ 0 & 0 & G^+ & G^0 \\ 0 & 0 & -G^0 & G^+ \end{pmatrix},$$

where G_i is a 4 × 4 complex matrix and we have used a 4 × 4 matrix representation for S. It is easy to see that these interaction terms can violate CP-conservation.

where M_{ij}⁺ and M_{ij}⁰ are arbitrary complex numbers. We can eliminate three Goldstone modes φ, by putting

$$\phi = e^{i\alpha} \begin{pmatrix} \phi \\ 1 + \delta \end{pmatrix}, \quad (2)$$

where i is a vacuum expectation value of φ' and δ is a massive scalar field. Therefore, performing a diagonalization of the remaining mass term, we obtain

$$L_{mass} = \phi \eta \phi \left(1 + \frac{\delta}{2} \right),$$

$$\eta = \begin{pmatrix} \eta_{11} & 0 & 0 & 0 \\ 0 & \eta_{11} & 0 & 0 \\ 0 & 0 & \eta_{22} & 0 \\ 0 & 0 & 0 & \eta_{22} \end{pmatrix}, \quad \eta = \begin{pmatrix} \rho \\ \sigma \\ \tau \\ \delta \end{pmatrix}. \quad (3)$$

Then, the interaction with the gauge field in L_{kin} is expressed as

$$\frac{1}{2} A_i^+ A_i A_j \phi_j + \frac{1}{2} B_i \phi_i.$$

Here, A_i is the representation matrix of SU_{weak}(2) for this case and explicitly given by

$$A_i = \frac{A_i + iA_i}{2} = K \begin{pmatrix} 0 & U \\ 0 & 0 \end{pmatrix} K^{-1}, \quad A_i = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad K = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad (4)$$

where U is a 2 × 2 unitary matrix. Here and hereafter we neglect the gauge field corresponding to U(1) which is irrelevant to our discussion. With an appropriate phase convention of the quartet field we can take U as

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad (5)$$

Therefore, if L⁺ = 0, no CP-violations occur in this case. It should be noted, however, that this argument does not hold when we introduce one more fermion doublet with the same charge assignment. This is because all phases of elements of a 2 × 2 unitary matrix cannot be absorbed into the phase convention of six fields. This possibility of CP-violation will be discussed later on.

iii) Case (A, B)

This is a rather delicate case. We denote two left doublets, one right doublet and two singlets by L₁, L₂, R₁, R₂⁺ and R₂⁰, respectively. The general form

Next we consider a 6plet model, another interesting model of CP-violation. Suppose that 6plet with charges (2, 2, 2, 0, 0, -1, 0, -1, 0, -1) is decomposed into SU_{weak}(2) multiplets as 2 + 2 + 2 and 1 + 1 + 1 + 1 + 1 + 1 for left and right components, respectively. Just as the case of (A, C), we have a similar expression for the charged weak current with a 3 × 3 instead of 2 × 2 unitary matrix in Eq. (5). As we pointed out in this case we cannot absorb all phases of matrix elements into the phase convention and one takes, for example, the following expression:

$$\begin{pmatrix} \cos \theta & -\sin \theta \cos \theta & -\sin \theta \sin \theta \\ \sin \theta \cos \theta & \cos \theta \cos \theta \cos \theta & -\sin \theta \sin \theta \cos \theta \\ \sin \theta \sin \theta & \cos \theta \sin \theta \cos \theta & \cos \theta \sin \theta \sin \theta \end{pmatrix} \begin{pmatrix} \cos \delta & \cos \theta \sin \theta \sin \theta \\ \cos \delta \cos \theta \sin \theta \sin \theta & \cos \delta \sin \theta \sin \theta \\ -\cos \delta \sin \theta \sin \theta & \cos \delta \cos \theta \sin \theta \sin \theta \end{pmatrix}$$

Then, we have CP-violating effects through the interference among these different current components. An interesting feature of this model is that the CP-violating effects of lowest order appear only in ββ⁰ non-leptonic processes and in the semi-leptonic decay of neutral strange mesons (we are not concerned with higher order with the new quantum number) and not in the other semi-leptonic, ΔS = 0 non-leptonic and pure-leptonic processes.

So far we have considered only the straightforward extensions of the original Weinberg's model. However, other schemes of underlying gauge groups and/or scalar fields are possible. Georgi and Glashow's model^{6) is one of them. We can easily see that CP-violation is incorporated into their model without introducing any other fields than (many) new fields which they have introduced already.}

References

- 1) S. Weinberg, Phys. Rev. Letters **18** (1967), 1544; **20** (1971), 268.
- 2) E. Maki and T. Marikawa, KIPP-146 (unpubl.), April 1971.
- 3) P. W. Higgs, Phys. Letters **12** (1964), 132; **13** (1964), 306.
- 4) S. Guralnik, C. N. Hagen and T. W. Kibble, Phys. Rev. Letters **13** (1964), 563.
- 5) H. Georgi and S. L. Glashow, Phys. Rev. Letters **28** (1972), 1644.

Equation

Equation (13) should read as

$$\begin{pmatrix} \cos \theta & -\sin \theta \cos \theta & -\sin \theta \sin \theta \\ \sin \theta \cos \theta & \cos \theta \cos \theta \cos \theta & -\sin \theta \sin \theta \cos \theta \\ \sin \theta \sin \theta & \cos \theta \sin \theta \cos \theta & \cos \theta \sin \theta \sin \theta \end{pmatrix} \begin{pmatrix} \cos \delta \cos \theta \sin \theta \sin \theta \\ \cos \delta \cos \theta \sin \theta \sin \theta \\ \cos \delta \sin \theta \sin \theta \end{pmatrix} + \sin \theta \cos \theta \cos \theta \begin{pmatrix} \cos \delta \cos \theta \sin \theta \sin \theta \\ \cos \delta \cos \theta \sin \theta \sin \theta \\ \cos \delta \sin \theta \sin \theta \end{pmatrix} \quad (13)$$

of L_{mass} is given by

$$L_{mass} = \sum_{i,j=1}^2 [\mu_{ij} L_{1i} R_{1j} + M_{ij}^+ \bar{L}_{1i} R_{1j}^+ + M_{ij}^0 \bar{L}_{1i} R_{2j}^0] + h.c.,$$

where μ_{ij}, M_{ij}⁺ and M_{ij}⁰ are arbitrary complex numbers. After diagonalization of mass terms (in this case, the CP odd part of coupling with φ does not disappear in general) each multiplet can be expressed as follows:

$$L_{1i} = \frac{1+i}{2} \begin{pmatrix} \cos \delta \cos \theta & \sin \theta \\ \cos \delta \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}, \quad L_{2i} = \frac{1-i}{2} \begin{pmatrix} \sin \theta & \cos \theta \\ -\sin \theta \cos \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix},$$

$$R_{1j} = \frac{1-i}{2} \begin{pmatrix} \sin \theta \cos \theta & \cos \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}, \quad R_{2j}^+ = \frac{1-i}{2} \begin{pmatrix} \cos \theta & \sin \theta \\ \cos \theta & \sin \theta \end{pmatrix} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix},$$

$$R_{2j}^0 = \frac{1-i}{2} \begin{pmatrix} \cos \theta & \sin \theta \\ \cos \theta & \sin \theta \end{pmatrix} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}, \quad (7)$$

where phase factors α, β and γ satisfy two relations with the masses of the quartet:

$$e^{i\alpha} \cos \theta \sin \theta \cos \beta = m_1 \cos \theta \sin \theta - e^{i\gamma} m_2 \sin \theta,$$

$$e^{i\alpha} \cos \theta \cos \beta = -m_1 \sin \theta \cos \theta + e^{i\gamma} m_2 \cos \theta. \quad (8)$$

Owing to the presence of phase factors, there exists a possibility of CP-violation also through the weak current. However, the strangeness changing neutral current is proportional to sin θ cos γ and its experimental upper bound is roughly

$$\sin \theta \cos \gamma < 10^{-3}. \quad (9)$$

Thus, making an approximation of sin θ = 0 (the other choice cos θ = 0 is less critical) we obtain from Eq. (8)

$$m_1/m_2 = \cos \beta / \tan \beta,$$

$$m_1/m_2 = -\sin \beta / \sin \theta. \quad (10)$$

We have no lowlying particle with a quantum number corresponding to ζ, so that m_ζ, which is a measure of chiral SU(4) × SU(4) breaking, should be sufficiently large compared to the masses of the other members. However, the present experimental results on the p₁/p₂ ratios of the octet baryon β-decay would not permit sin θ > 0.16. Thus, it seems difficult to reconcile the hierarchy of chiral symmetry breaking with the experimental knowledge of the semi-leptonic processes.

ii) Case (B, B)

As a previous one, in this case also, occurrence of CP-violation is possible, but in order to suppress |ΔS| = 1 neutral currents, coefficients of the axial-vector part of ΔS = 0 and |ΔS| = 1 weak currents must take signs opposite to each other. This contradicts again the experiments on the baryon β-decay.

Next we consider a 6-plet model, another interesting model of *CP*-violation. Suppose that 6-plet with charges $(Q, Q, Q, Q-1, Q-1, Q-1)$ is decomposed into $SU_{\text{weak}}(2)$ multiplets as $2+2+2$ and $1+1+1+1+1+1$ for left and right components, respectively. Just as the case of (A, C) , we have a similar expression for the charged weak current with a 3×3 instead of 2×2 unitary matrix in Eq. (5). As was pointed out, in this case we cannot absorb all phases of matrix elements into the phase convention and can take, for example, the following expression:

$$\begin{pmatrix} \cos \theta_1 & -\sin \theta_1 \cos \theta_2 & -\sin \theta_1 \sin \theta_2 \\ \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \cos \theta_3 - \sin \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \cos \theta_2 \sin \theta_3 + \sin \theta_2 \cos \theta_3 e^{i\delta} \\ \sin \theta_1 \sin \theta_2 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \sin \theta_2 \sin \theta_3 - \cos \theta_2 \sin \theta_3 e^{i\delta} \end{pmatrix}. \quad (13)$$

Then, we have *CP*-violating effects through the interference among these different current components. An interesting feature of this model is that the *CP*-violating effects of lowest order appear only in $\Delta S \neq 0$ non-leptonic processes and in the semi-leptonic decay of neutral strange mesons (we are not concerned with higher states with the new quantum number) and not in the other semi-leptonic, $\Delta S = 0$ non-leptonic and pure-leptonic processes.

So far we have considered only the straightforward extensions of the original Weinberg's model. However, other schemes of underlying gauge groups and/or scalar fields are possible. Georgi and Glashow's model⁶⁾ is one of them. We can easily see that *CP*-violation is incorporated into their model without introducing any other fields than (many) new fields which they have introduced already.

References

- 1) S. Weinberg, Phys. Rev. Letters **19** (1967), 1264; **27** (1971), 1688.
- 2) Z. Maki and T. Maskawa, RIFP-146 (preprint), April 1972.
- 3) P. W. Higgs, Phys. Letters **12** (1964), 132; **13** (1964), 508.
G. S. Guralnik, C. R. Hagen and T. W. Kibble, Phys. Rev. Letters **13** (1964), 585.
- 4) H. Georgi and S. L. Glashow, Phys. Rev. Letters **28** (1972), 1494.

Errata:

Equation (13) should read as

$$\begin{pmatrix} \cos \theta_1 & -\sin \theta_1 \cos \theta_2 & -\sin \theta_1 \sin \theta_2 \\ \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \cos \theta_3 - \sin \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \cos \theta_2 \sin \theta_3 + \sin \theta_2 \cos \theta_3 e^{i\delta} \\ \sin \theta_1 \sin \theta_2 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \sin \theta_2 \sin \theta_3 - \cos \theta_2 \cos \theta_3 e^{i\delta} \end{pmatrix}. \quad (13)$$

However,...

- CKM mechanism is **the dominating effect** for CP violation and flavour mixing in the quark sector;

but there is still room for **sizeable new effects and new flavour structures** (the flavour sector has only be tested at the 10% level in many cases).
- The SM does **not** describe the flavour phenomena in **the lepton sector**.

Flavour problem of SM

$$\mathcal{L}_{SM} = \mathcal{L}_{Gauge}(A_i, \psi_i) + \mathcal{L}_{Higgs}(\Phi, \psi_i, v)$$

- Gauge principle governs the gauge sector of the SM.

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- Gauge principle governs the gauge sector of the SM.

- No guiding principle in the flavour sector:

CKM mechanism (3 Yukawa SM couplings) provides a phenomenological description of quark flavour processes, but leaves significant hierarchy of quark masses and mixing parameters unexplained.

Many open fundamental questions of particle physics are related to flavour :

- How many families of fundamental fermions are there ?
- How are neutrino and quark masses and mixing angles are generated ?
- Do there exist new sources of flavour and CP violation ?
- Is there CP violation in the QCD gauge sector ?
- Relations between the flavour structure in the lepton and quark sector ?

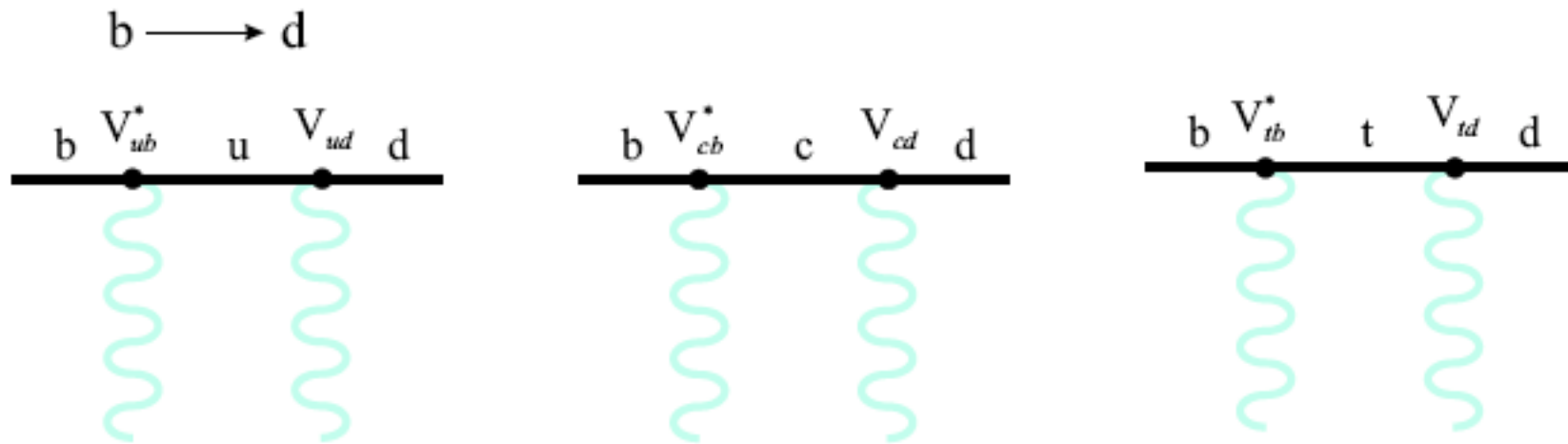
B meson physics Prologue

What can we learn from decays of B mesons ?

$$B_{d,(s)}^0 = \bar{b}d(s), \quad \bar{B}_{d,(s)}^0 = b\bar{d}(\bar{s}), \quad B_u^+ = \bar{b}u, \quad B_u^- = b\bar{u}$$

- b quark heaviest quark with pronounced hadronic bound states (QCD tests)
- Many different decay modes ($m_B = 5.27\text{GeV}$)
→ rich CKM phenomenology
- GIM suppression largely relaxed because m_t very large
(BR of FCNC in B system $\approx 10^{-5} \leftrightarrow K$ or D system)
- Independent test of the mechanism of CP violation
(large effects $\leftrightarrow K$ system)

Large m_{top} overrides *GIM* suppression



$$A = V_{ub}^* V_{ud} f(m_u) + V_{cb}^* V_{cd} f(m_c) + V_{tb}^* V_{td} f(m_t)$$

$$A = 0. \quad \text{if} \quad m_u = m_c = m_t$$

However $m_t \gg m_c, m_u$

$f(m) \approx m^2$ quadratic GIM

$f(m) \approx \log(m)$ logarithmic GIM

Central Questions in *B* Physics

CKM phenomenology

Mechanism of CP violation

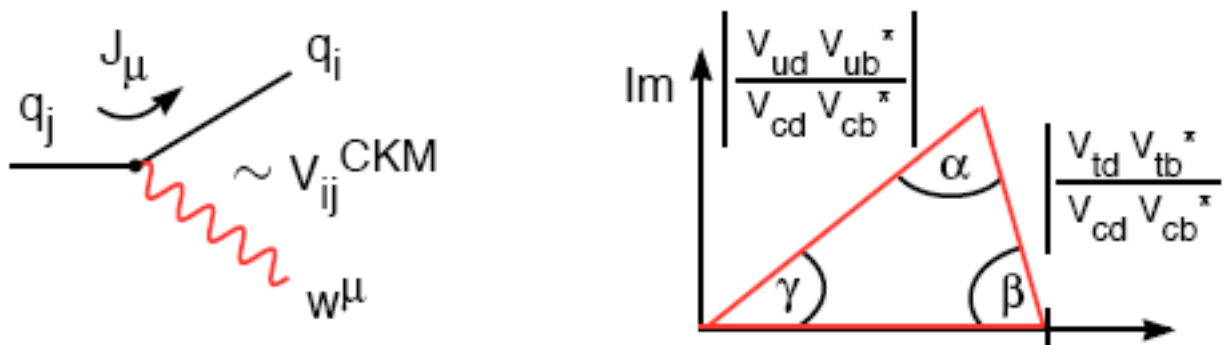
Indirect search for new physics \Rightarrow Lectures by Uli Haisch

Quantitative understanding of long-
distance strong interactions \Rightarrow Lectures by Thomas Mannel,
by Pilar Hernandez, by Silas Baene

CKM Phenomenology, Unitarity Triangle

Why ?

- determine fundamental SM parameters (Yukawa-matrices $Y^{u,d} \rightarrow$ model building)
- CKM phase: the only source of CP-violation?
- overconstraining the unitarity angle (possible signals for new physics)



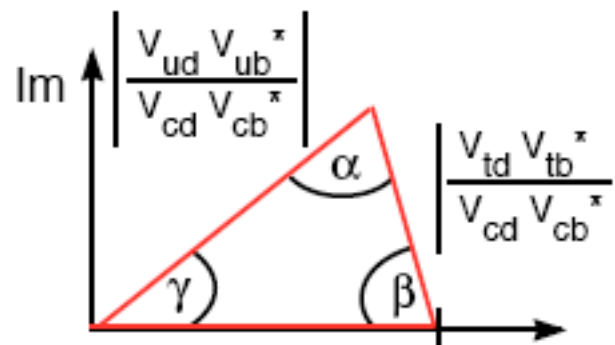
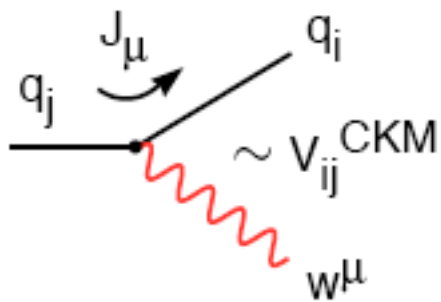
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

Unitarity: $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$

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Caveat: Yukawa couplings \Leftrightarrow CKM matrix

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Why is CP violation interesting ?

- Standard Model is very predictive: **only one CP-violating parameter** (Kobayashi-Maskawa mechanism 1972!).

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- **Baryon asymmetry**: one needs more sources of CP violation (not necessarily relevant at low energies).
- Various extensions of the SM offer **new sources of CP violation**.

CP violation in the SM

In chiral gauge theories CP is a natural symmetry.

$$\mathcal{L}_{gauge} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \psi_L^\dagger(i\bar{\sigma}D)\psi_L + \psi_R^\dagger(i\bar{\sigma}\partial)\psi_R$$

D is the covariant derivative

- \mathcal{L} violates P *Right-handed fermions do not couple to gauge bosons.*
- \mathcal{L} violates C *Left-handed antifermions do not couple to gauge bosons.*
- \mathcal{L} preserves CP *Both left-handed fermions and right-handed antifermions couple to gauge bosons.*

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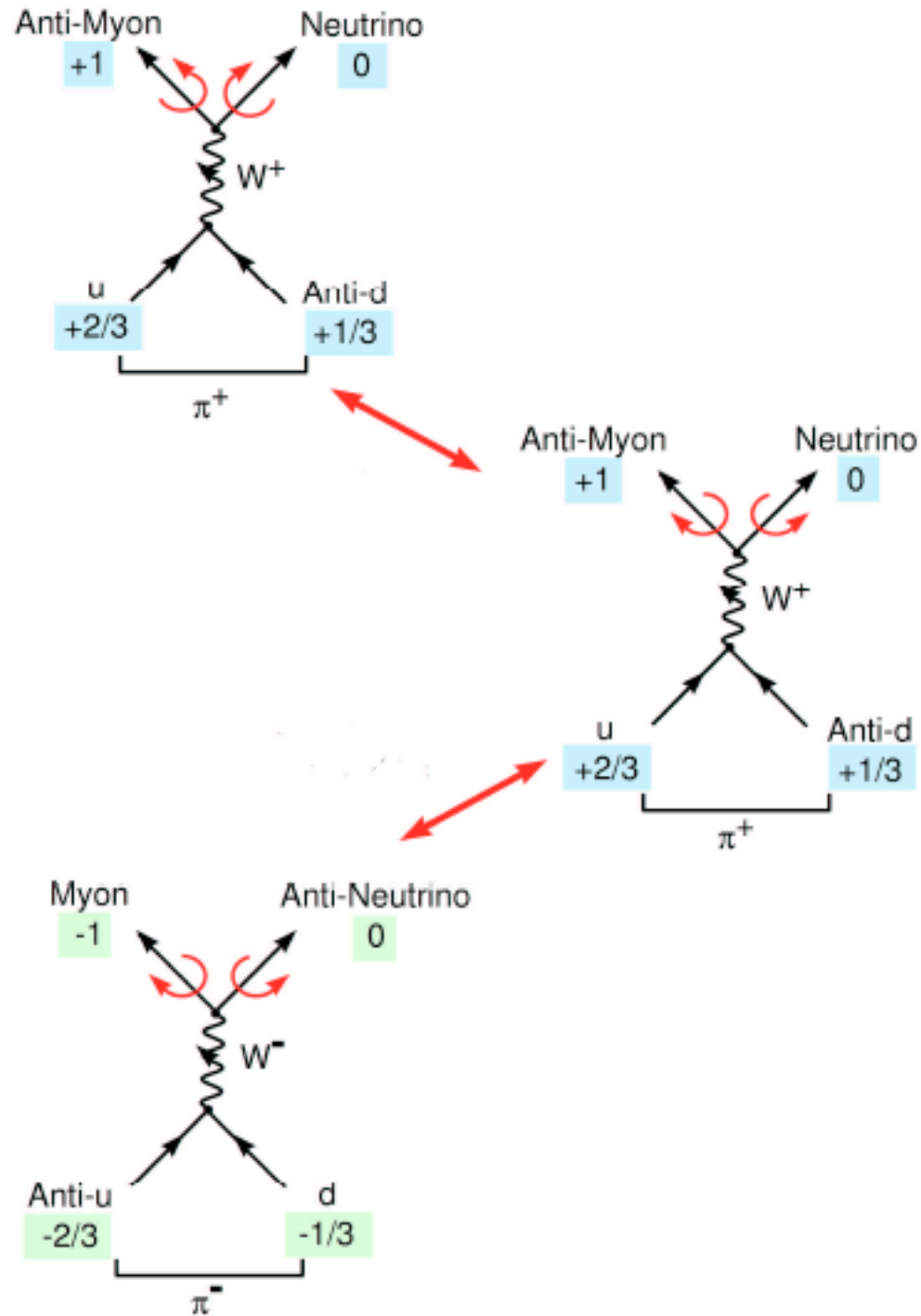
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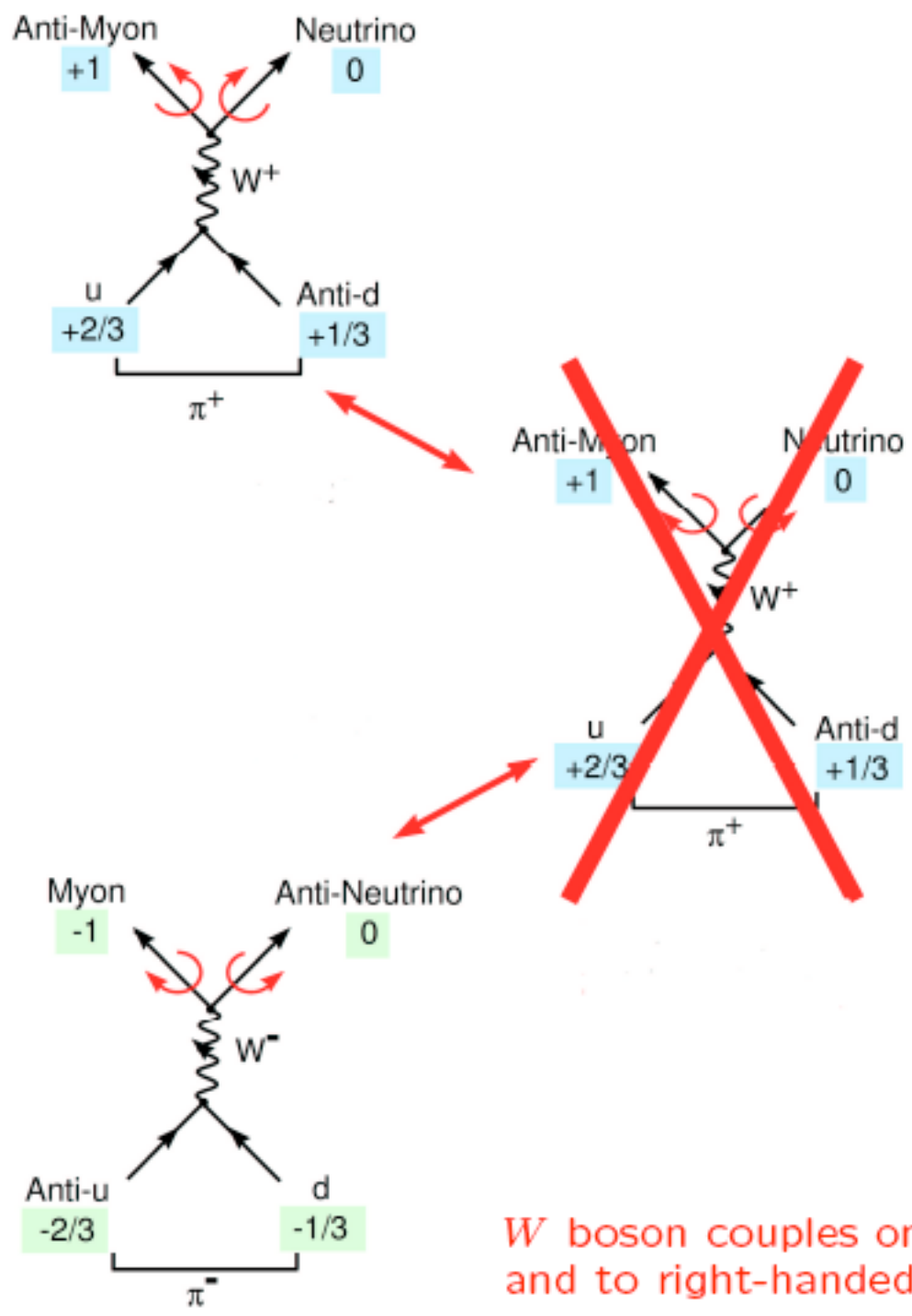
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Massless gauge theories are invariant under CP

The weak force breaks C and P maximally

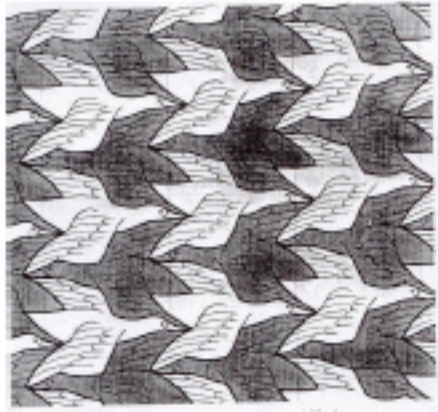


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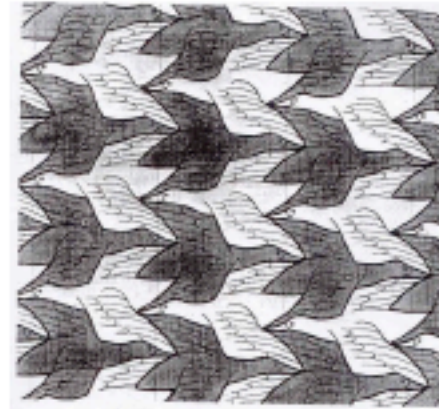


W boson couples only to left-handed fermions and to right-handed anti-fermions

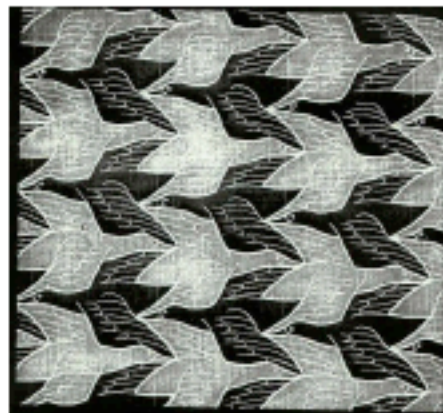
M. C. Escher



Parity



Charge Conjugation



SM basics

- Gauge group $G_{\text{SM}} = SU(3)_C \times SU(2)_L \times U(1)_Y$

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- Fermion representations

$$Q_{Li}^I(3, 2)_{+1/6}, \quad U_{Ri}^I(3, 1)_{+2/3}, \quad D_{Ri}^I(3, 1)_{-1/3}, \quad L_{Li}^I(1, 2)_{-1/2}, \quad E_{Ri}^I(1, 1)_{-1}.$$

Notation: left-handed quarks, Q_L^I : $SU(3)_C$, doublets of $SU(2)_L$ and carry hypercharge $Y = +1/6$

I interaction eigenstates

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I interaction eigenstates

$i = 1, 2, 3$ flavor index

- Spontaneous symmetry breaking

$$\phi(1, 2)_{+1/2} \quad \langle \phi \rangle = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix} \quad G_{\text{SM}} \rightarrow SU(3)_C \times U(1)_{\text{EM}}$$

$$\mathcal{L}_{\text{gauge}}(Q_L) = i\overline{Q_{Li}^I} \gamma_\mu \left(\partial^\mu + \frac{i}{2} g_s G_a^\mu \lambda_a + \frac{i}{2} g W_b^\mu \tau_b + \frac{i}{6} g' B^\mu \right) Q_{Li}^I$$

CP conserving

- $-\mathcal{L}_{\text{Yukawa}}^{\text{quarks}} = Y_{ij}^d \overline{Q_{Li}^I} \phi D_{Rj}^I + Y_{ij}^u \overline{Q_{Li}^I} \tilde{\phi} U_{Rj}^I + \text{h.c.}$

CP violating if and only if $\text{Im} \left\{ \det[Y^d Y^{d\dagger}, Y^u Y^{u\dagger}] \right\} \neq 0.$

Jarlskog 1985

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- CP violation is **related** to *complex* Yukawa couplings

Hermiticity of the Lagrangian $Y_{ij} \overline{\psi_{Li}} \phi \psi_{Rj} + Y_{ij}^* \overline{\psi_{Rj}} \phi^\dagger \psi_{Li}$

A CP transformation $\overline{\psi_{Li}} \phi \psi_{Rj} \leftrightarrow \overline{\psi_{Rj}} \phi^\dagger \psi_{Li}:$

CP invariance if $Y_{ij} = Y_{ij}^*.$

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- Quark Yukawa couplings break the quark flavour symmetry down to baryon number conservation

$$G^{quark}(Y^f = 0) = U(3)_Q \times U(3)_D \times U(3)_U \rightarrow G^{quark} = U(1)_B$$

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- Number of physical parameters in quark Yukawa couplings

$$(18 \times 2) - (9 \times 3) + 1 = 10$$

'Complex phase in CKM matrix related to CP violation'

- $-\mathcal{L}_M^q = (M_d)_{ij} \overline{D}_{Li}^I D_{Rj}^I + (M_u)_{ij} \overline{U}_{Li}^I U_{Rj}^I + \text{h.c.} \quad M_q = \frac{v}{\sqrt{2}} Y^q$

$$\text{Re}(\phi^0) \rightarrow (v + H^0)/\sqrt{2}$$

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- Physical parameters:

$$6 \text{ quark masses} + (9 \text{ CKM parameters} - 5 \text{ relative phases}) = 10$$

Naive argument:

- The charge current interaction Lagrangian in mass eigenstate basis

$$\mathcal{L}_{W^+} = \frac{g}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu V_{ij} d_{Lj} W_\mu^+ + \frac{g}{\sqrt{2}} \bar{d}_{Lj} \gamma^\mu V_{ij}^* u_{Li} W_\mu^- :$$

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- A representation of CP is given via

$$W_\mu^+ \xrightarrow{CP} W_\mu^- \quad \bar{\psi}_1 \gamma_\mu \psi_2 \xrightarrow{CP} \bar{\psi}_2 \gamma_\mu \psi_1$$

$$\Rightarrow \mathcal{L}_{W^+}^{CP} = \frac{g}{\sqrt{2}} \bar{d}_{Lj} \gamma^\mu V_{ij} u_{Li} W_\mu^- + \frac{g}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu V_{ij}^* d_{Lj} W_\mu^+$$

- $\mathcal{L}_{W^+} \neq \mathcal{L}_{W^+}^{CP}$ if V is complex ???

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- A representation of CP is given via

$$W_\mu^+ \xrightarrow{CP} W_\mu^- \quad \bar{\psi}_1 \gamma_\mu \psi_2 \xrightarrow{CP} \bar{\psi}_2 \gamma_\mu \psi_1$$

$$\Rightarrow \mathcal{L}_{W^+}^{CP} = \frac{g}{\sqrt{2}} \bar{d}_{Lj} \gamma^\mu V_{ij} u_{Li} W_\mu^- + \frac{g}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu V_{ij}^* d_{Lj} W_\mu^+$$

- $\mathcal{L}_{W^+} \neq \mathcal{L}_{W^+}^{CP}$ if V is complex ???

Argument more involved (not all phases in CKM matrix are physical)!

Physically quantities must be invariant under a rephasing of the fields

- Rephasing invariants:

1. Moduli of CKM matrix elements $|V_{\alpha i}|^2$.

2. Quartets: $Q_{\alpha i \beta j} = V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*$.

3. Invariants of higher order may in general

be written as functions of 1 and 2:

Example:
$$V_{\alpha i} V_{\beta j} V_{\gamma k} V_{\alpha j}^* V_{\beta k}^* V_{\gamma i} = \frac{Q_{\alpha i \beta j} Q_{\beta i \gamma k}}{|V_{\beta i}|^2}$$

(singular cases if some elements vanish)

- The most general CP transformation which leaves invariant all terms of the Lagrangian, except \mathcal{L}_{W^+} , is given by

$$\begin{aligned}
U_{CP}u_\alpha(t, \vec{r})U_{CP}^\dagger &= e^{i\xi_\alpha}\gamma^0 C\bar{u}_\alpha^T(t, -\vec{r}), \\
U_{CP}\bar{u}_\alpha(t, \vec{r})U_{CP}^\dagger &= -e^{-i\xi_\alpha}\bar{u}_\alpha^T(t, -\vec{r})C^{-1}\gamma^0, \\
U_{CP}d_k(t, \vec{r})U_{CP}^\dagger &= e^{i\xi_k}\gamma^0 C\bar{d}_k^T(t, -\vec{r}), \\
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U_{CP}W^{+\mu}(t, \vec{r})U_{CP}^\dagger &= -e^{-i\xi_W}W_\mu^-(t, -\vec{r}).
\end{aligned}$$

- The CP invariance of \mathcal{L}_{W^+} constrains V_{CKM} to satisfy

$$V_{\alpha k}^* = e^{i(\xi_W + \xi_k - \xi_\alpha)}V_{\alpha k}, \quad \text{Im}Q_{\alpha i \beta j} = \text{Im}(V_{\alpha i}V_{\beta j}V_{\alpha i}^*V_{\beta i}^*) = 0.$$

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- The CP invariance requires that all rephasing invariant combinations of CKM matrix elements be real!

(parametrization-independent criterium)

- Parametrization-independent CP violating quantity in V_{CKM} :

$$\text{Im}[V_{ij}V_{kl}V_{il}^*V_{kj}^*] = J_{CKM} \sum_{m,n=1}^3 \epsilon_{ikm}\epsilon_{jln} \quad (i, j, k, l = 1, 2, 3)$$

Jarlskog parameter

All $|\text{Im}Q_{ijkl}|$ are equal (use unitarity relations)

$$J_{CKM} \simeq \lambda^6 A^2 \eta = \mathcal{O}(10^{-5})$$

Jarlskog Criterion in Weak Interaction Basis

- Start with Lagrangian in its initial form in the weak basis.
All gauge currents are diagonal and real
- Consider the most general CP transformation which leaves invariant the part of the Lagrangian containing the gauge interactions.
- Check whether the CP transformations thus defined implies any restrictions on the remaining of the Lagrangian.

\Rightarrow Restrictions on \mathcal{L}_{mass}

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\Rightarrow Restrictions on \mathcal{L}_{mass}

- CP violation arises as a clash between the CP properties of the gauge interactions and the mass terms.

$$\mathcal{L}_{gauge} \leftrightarrow \mathcal{L}_{mass}$$

- Condition for CP violation in the quark sector of the SM:

$$J_{CKM} \Delta m_{tc}^2 \Delta m_{cu}^2 \Delta m_{bs}^2 \Delta m_{bd}^2 \Delta m_{sd}^2 \neq 0, \quad \Delta m_{ij}^2 \equiv m_i^2 - m_j^2. \quad \text{Jarlskog 1985}$$

- Requirements on the SM to violate CP:
 - (a) within each quark sector, no mass degeneracy allowed
 - (b) none of the three mixing angles should be zero or $\frac{\pi}{2}$ ($J_{CKM} \sim A$)
 - (c) the physical phase should not be 0 or π .
- Parametrizations of the CKM matrix

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

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Standard parametrization:

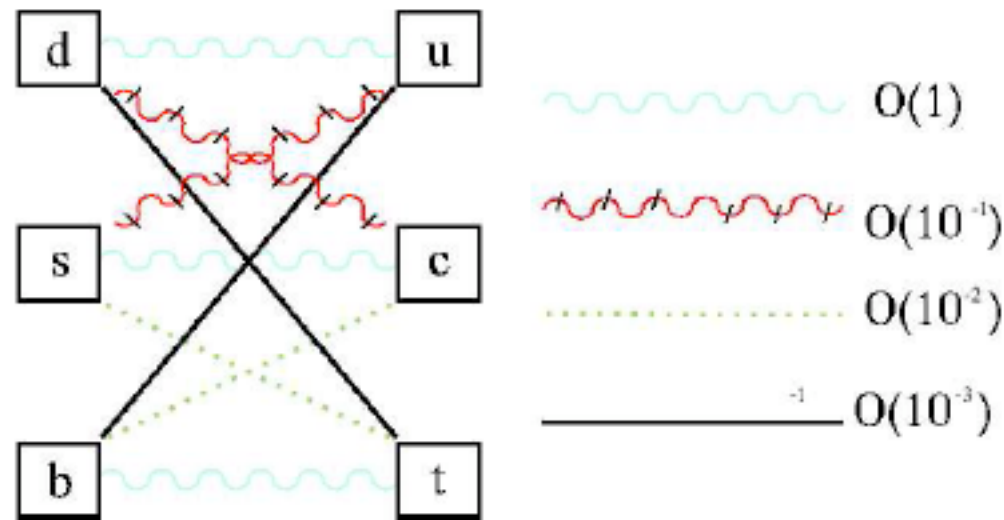
$$V_{\text{CKM}} = \begin{pmatrix} C_{12}C_{13} & S_{12}C_{13} & S_{13}e^{-i\delta} \\ -S_{12}C_{23} - C_{12}S_{23}S_{13}e^{i\delta} & -C_{12}C_{23} - S_{12}S_{23}S_{13}e^{i\delta} & S_{23}C_{13} \\ S_{12}S_{23} - C_{12}C_{23}S_{13}e^{i\delta} & C_{12}S_{23} - S_{12}C_{23}S_{13}e^{i\delta} & C_{23}C_{13} \end{pmatrix}$$

where $C_{ij} = \cos \theta_{ij}$, $S_{ij} = \sin \theta_{ij}$ ($i,j = 1, 2, 3$) and δ is the phase necessary for CP violation.

C_{ij} and S_{ij} can all be choose to be positive and δ may vary in the range $0 \leq \delta \leq 2\pi$.

- Hierarchy of charged current processes

SM flavour problem



$$S_{12} = 0.22 \gg S_{23} = \mathcal{O}(10^{-2}) \gg S_{13} = \mathcal{O}(10^{-3})$$

- The Wolfenstein parametrization reflects hierarchy manifestly

$$S_{12} = \lambda = 0.22; \quad S_{23} = A\lambda^2; \quad S_{13}e^{-i\delta_{13}} = A\lambda^3(\rho - i\eta)$$

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ \lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(\rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

- Hierarchy in unitarity relations

$$\begin{aligned}
 \underbrace{V_{ud}V_{us}^*}_{O(\lambda)} + \underbrace{V_{cd}V_{cs}^*}_{O(\lambda)} + \underbrace{V_{td}V_{ts}^*}_{O(\lambda^5)} &= 0, \\
 \underbrace{V_{us}V_{ub}^*}_{O(\lambda^4)} + \underbrace{V_{cs}V_{cb}^*}_{O(\lambda^2)} + \underbrace{V_{ts}V_{tb}^*}_{O(\lambda^2)} &= 0, \\
 \underbrace{V_{ud}V_{ub}^*}_{(\rho+i\eta)A\lambda^3} + \underbrace{V_{cd}V_{cb}^*}_{-A\lambda^3} + \underbrace{V_{td}V_{tb}^*}_{(1-\rho-i\eta)A\lambda^3} &= 0.
 \end{aligned}$$

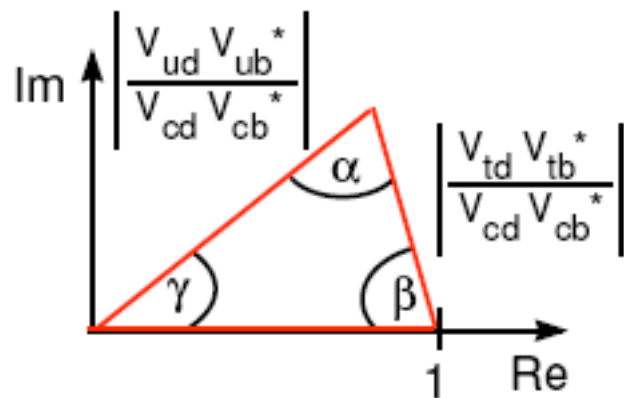
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- The angles α, β, γ are rephasing invariants:



$$\alpha \equiv \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right) = \arg(-Q_{ubtd}),$$

$$\beta \equiv \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right) = \arg(-Q_{tbcd}),$$

$$\gamma \equiv \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right) = \arg(-Q_{cbud}).$$

Three kinds of CP violation

1. **CP violations in decays**, which occurs in both charged and neutral decays.

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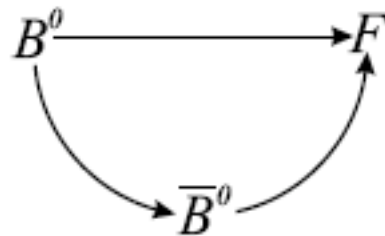
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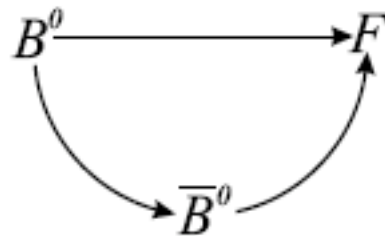
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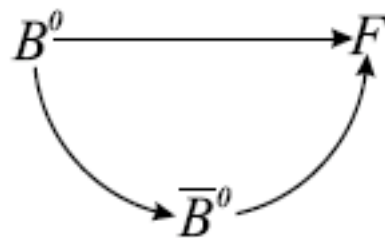
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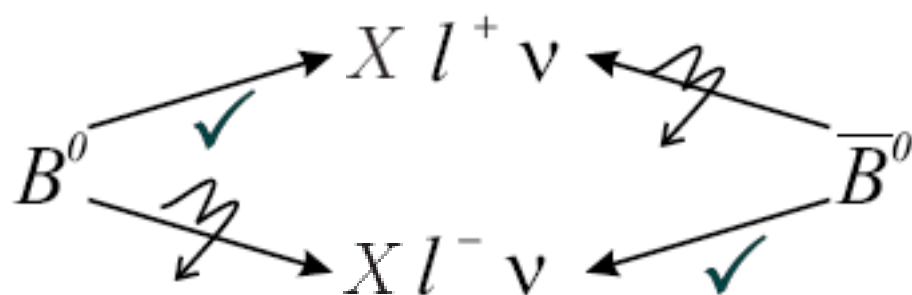
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Flavour-tagged B decays

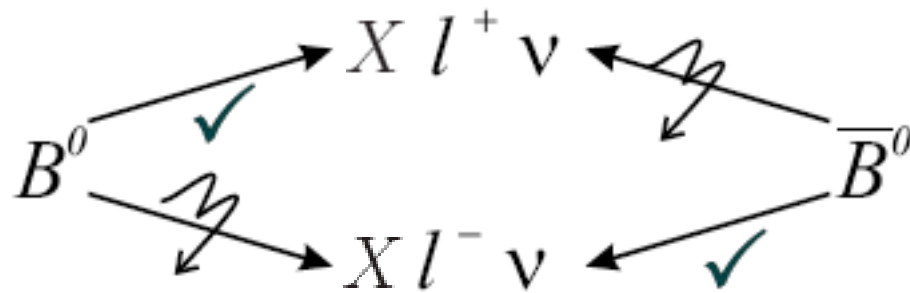
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Semileptonic decays

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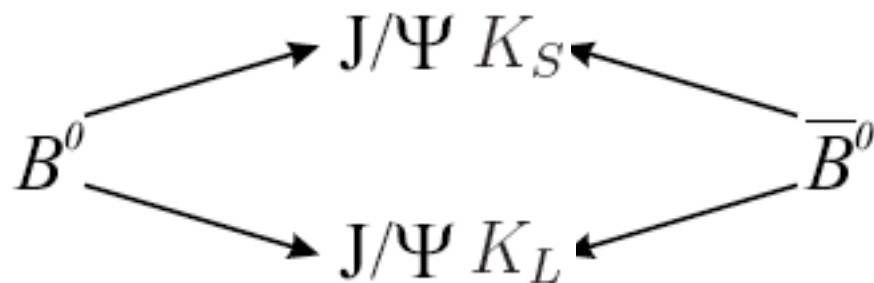
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Semileptonic decays

B decays into CP eigenstate

In 1% of the B^0 decays the final state is equally accessible from B^0 and \bar{B}^0 .



Charmonium decays.

CP violation in decay.

Three kinds of phases may arise in transition amplitudes

1. CP-odd phases (also called weak phases).
2. CP-even phases (also called strong phases).
3. Spurious CP-transformation phases.

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1. CP-odd phases (also called weak phases).
2. CP-even phases (also called strong phases).
3. Spurious CP-transformation phases.

- * In SM CP-odd occur only in the mixing matrices of the **weak** interaction.
- * CP even phases could be induced by possible combinations from an intermediate on-shell state in the decay process, that is an absorptive part of an amplitude (usually rescattering due to **strong** interaction).

The weak or strong phases of any single term is convention dependent, only differences are observable.

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Consider the ansatz: $\langle F | \mathcal{L} | B \rangle = Ae^{i(\phi+\delta)}$; $\langle \bar{F} | \mathcal{L} | \bar{B} \rangle = Ae^{i(-\phi+\delta)}$
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New ansatz:

$$\langle F | \mathcal{L} | B \rangle = A_1 e^{i(\phi_1 + \delta_1)} + A_2 e^{i(\phi_2 + \delta_2)}$$

$$\langle \bar{F} | \mathcal{L} | \bar{B} \rangle = A_1 e^{i(-\phi_1 + \delta_1)} + A_2 e^{i(-\phi_2 + \delta_2)}$$

$$\Rightarrow \Gamma(B \rightarrow F) - \Gamma(\bar{B} \rightarrow \bar{F}) \sim -4A_1 A_2 \sin(\delta_1 - \delta_2) \sin(\phi_1 - \phi_2)$$

CP violation in decay (direct CP violation) only in interference between two amplitudes which differ in both weak and strong phases.

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Problem: We are interested in the weak phases $(\phi_1 - \phi_2)$

They can be measured only if the nonperturbative QCD quantities $\frac{A_1}{A_2}$ and $\delta_1 - \delta_2$ are known.

\Rightarrow Large hadronic uncertainties

Possible Solution:

Time-dependence of mixing induced asymmetries which are dominated by one single amplitude:

$$\begin{aligned}A(B^0 \longrightarrow F) &\equiv A_f = A e^{i(\phi+\delta)} \\A(\bar{B}^0 \longrightarrow F) &\equiv \bar{A}_f = A e^{i(-\phi+\delta)}\end{aligned}$$

Nonperturbative QCD parameter δ and A cancel out.

Golden modes

\Rightarrow Lectures by Alan Schwartz and by Sheldon Stone

Addendum CKM Matrix N quark families

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 $\frac{1}{2}N(N - 1)$ are Euler angles and $\frac{1}{2}(N - 1)(N - 2)$ are phases.
- No CP violation possible with two families! (1 angle, 0 phases)

Cabbibo matrix (1963)

$$V_c = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix}$$

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- Example:

The electric charge of all (!) fermions within one family has to be zero:

$$Q(l_i^-, \nu_i) = (-1) \times |e|$$

$$Q(u_i) = 3 \times (+2/3) \times |e| = +2|e|$$

$$Q(d_i) = 3 \times (-1/3) \times |e| = -1|e|$$

- **However:**

The τ lepton - as first evidence for the third lepton family - was found 1975 by Martin Perl (SLAC) after (!) the KM paper. (Nobelprize for Perl 1995)

Strong CP problem

There is an additional gauge-invariant term in the SM Lagrangian:

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$$J_\mu = 2\epsilon^{\mu\nu\rho\sigma} \text{Tr} [G_\nu (\partial_\rho G_\sigma + \frac{1}{3} [G_\rho, G_\sigma])]$$

Jacobi identity

$$[G_\mu, [G_\rho, G_\sigma]] + [G_\sigma, [G_\nu, G_\rho]] + [G_\rho, [G_\sigma, G_\nu]] = 0$$

field tensor

$$F_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a + g_s f^{abc} G_\mu^b G_\nu^c$$

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- In perturbation theory the term plays no role.
- However, it could give rise to nonperturbative effects due to a nontrivial topological structure of the QCD vacuum.

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- The term induces an electric dipole moment to the neutron on which there is an experimental bound which leads to

$$\theta_{\text{QCD}} < 10^{-10}$$

- The question of how to explain the tiny value of this parameter is called the strong CP problem.

- We can express the gauge invariant terms $F_{\mu\nu}^a F_a^{\mu\nu}$ and its dual $F_{\mu\nu}^a \tilde{F}_a^{\mu\nu} = F_{\mu\nu}^a \varepsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}^a$ through the color electric and magnetic fields \vec{E}_a and \vec{B}_a

$$F_{\mu\nu}^a F_a^{\mu\nu} \sim |\vec{E}_a|^2 + |\vec{B}_a|^2 \longrightarrow |\vec{E}_a|^2 + |\vec{B}_a|^2 \quad \text{under P or T}$$

$$F_{\mu\nu}^a \tilde{F}_a^{\mu\nu} \sim \vec{E}_a \cdot \vec{B}_a \longrightarrow -\vec{E}_a \cdot \vec{B}_a \quad \text{under P or T}$$

Since

$$\text{P transformation: } \vec{E}_a \longrightarrow -\vec{E}_a; \quad \vec{B}_a \longrightarrow \vec{B}_a$$

$$\text{T transformation: } \vec{E}_a \longrightarrow \vec{E}_a; \quad \vec{B}_a \longrightarrow -\vec{B}_a$$

Thus, the new term violates P and T symmetry and would thus give rise to CP violation in the strong interactions.

- Possible solutions of the strong CP problem are the following:
 - Adjusting θ to be smaller than $\mathcal{O}(10^{-9})$ or to be zero by hand is viewed as highly unnatural.

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- Adjusting θ to be smaller than $\mathcal{O}(10^{-9})$ or to be zero by hand is viewed as highly unnatural.

- In any case: θ_{QCD} is not an observable

because there are additional $SU(2)_L \times U(1)$ symmetry breaking contributions of the quark mass matrix.

$$\theta_{\text{QCD}} \longrightarrow \bar{\theta} \equiv \theta_{\text{QCD}} + \theta_{\text{QFT}}$$

$$\text{with } \theta_{\text{QFT}} = \arg \det (M_u M_D)$$

Thus, $\theta_{\text{QFT}} = 0$ is not stable under renormalization

(θ_{QFT} receive some contributions at higher order).

- The $m_u = 0$ solution: The most natural quark to have a vanishing mass is the up-quark. However, although the mass of the up-quark is small, it does not appear to be zero.

A study of influence of quark masses on the masses of baryons and mesons, gives a non-vanishing value for m_u , with running mass at 1 GeV being

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- Spontaneously broken CP: $\bar{\theta} = 0$ as the leading effect with corrections leading to a small deviations from zero.

- Additional contribution from the axial anomaly:

In the real world, the quarks acquire their mass via the electroweak symmetry breaking,

$$\mathcal{L}_{mass} = \bar{U}_L M_U^{dia} U_R + \bar{D}_L M^{dia} D_R + h.c.$$

- Rewrite the up-quark term:

$$\mathcal{L}_{mass}^U = \frac{1}{2} \bar{U} (M_U^{dia} + M_U^{dia\dagger}) U + \frac{1}{2} \bar{U} (M_U^{dia} - M_U^{dia\dagger}) \gamma^5 U_R$$

- The $\bar{U} \gamma^5 U$ term can be removed by performing the chiral transformation

$$U_i \longrightarrow e^{-i\frac{1}{2}\alpha_i \gamma^5} U_i$$

(diagonal elements of M^{dia} $m_i e^{i\alpha_i}$)

- However, the current associated to this symmetry transformation is not conserved:

$$\partial^\mu J_\mu^{5,i} = \partial_\mu (\bar{U}_i \gamma_\mu \gamma_5 U_i) = 2m_i \bar{U}_i \gamma_5 U_i + \frac{g_s^2}{16\pi^2} F_{\mu\nu} \cdot \tilde{F}^{\mu\nu} \neq 0$$

Chiral transformation changes the action:

$$S \longrightarrow S - \sum_i \int d^4x \partial^\mu J_\mu^{5,i} = S - i(\arg \det M) \int d^4x \frac{g_s^2}{32\pi^2} F \tilde{F}$$

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- **Invariance of** $\mathcal{L}_{eff} = \mathcal{L}_{QCD} + \frac{\theta g_s^2}{32\pi^2} F_{\mu\nu} \cdot \tilde{F}^{\mu\nu}$

under simultaneous transformations

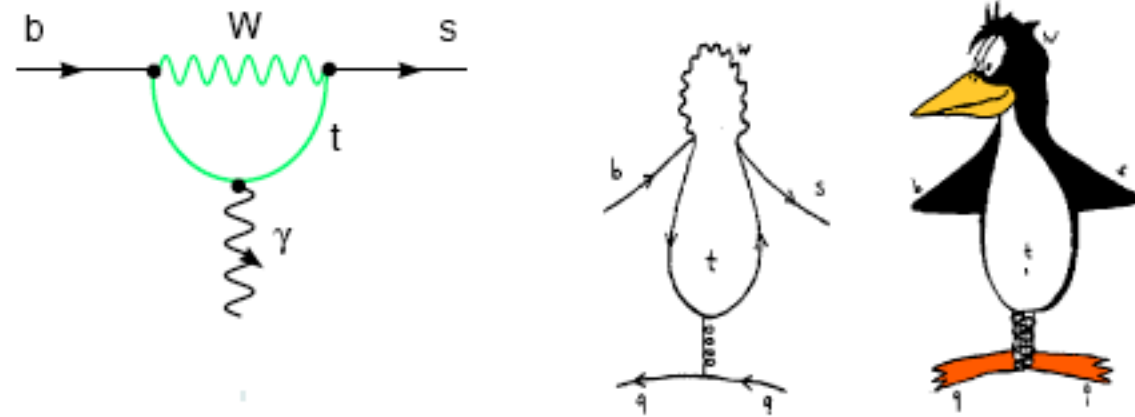
$$q_i \longrightarrow e^{-i\frac{1}{2}\alpha_i\gamma^5} q_i, \quad m_i \longrightarrow e^{-i\alpha_i} m_i, \quad \theta \longrightarrow \theta - \sum \alpha_i = \theta - \arg \det M$$

(where the sum of α_i is over u and d)

- The strong CP problem arises if one insists that renormalization proceeds in a natural way; *i.e.* without fine tuning.
- Neither axions (that if exist could make up a significant fraction of the mass of galaxies) nor other consequences of the strong CP problem have been discovered so far.

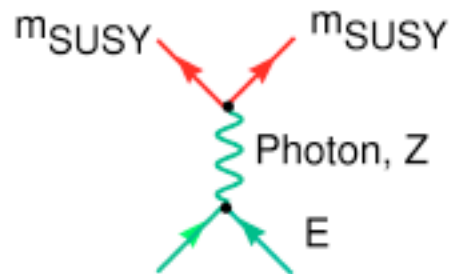
Indirect exploration of higher scales via flavour observables

- Flavour changing neutral current processes like $b \rightarrow s \gamma$ or $b \rightarrow s \ell^+ \ell^-$ directly probe the SM at the one-loop level.

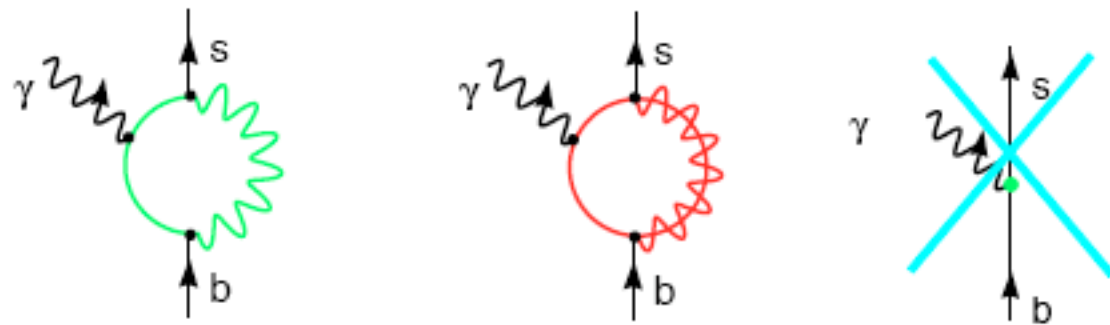


- Indirect search strategy for new degrees of freedom beyond the SM

Direct:



Indirect:



- High sensitivity for 'New Physics' (\leftrightarrow electroweak precision data, 10% \leftrightarrow 0.1%)
- Large potential for synergy and complementarity between collider (high- p_T) and flavour physics within the search for new physics

\Rightarrow Lectures by Uli Haisch

Flavour problem of New Physics or how do FCNCs hide

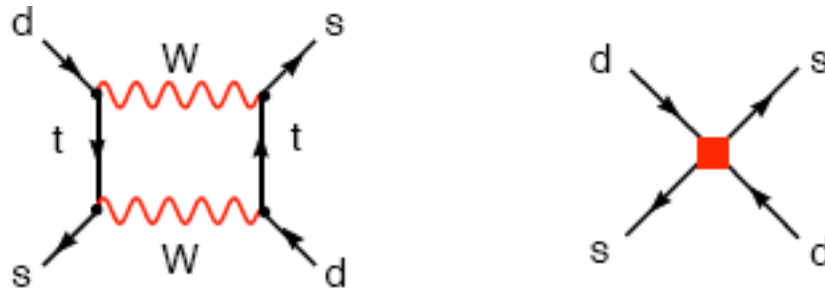
$$\mathcal{L} = \mathcal{L}_{Gauge} + \mathcal{L}_{Higgs} + \sum_i \frac{c_i^{New}}{\Lambda_{NP}} \mathcal{O}_i^{(5)} + \dots$$

- SM as effective theory valid up to cut-off scale Λ_{NP}

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- SM as effective theory valid up to cut-off scale Λ_{NP}
- Typical example: $K^0 - \bar{K}^0$ -mixing $\mathcal{O}^6 = (\bar{s}d)^2$:



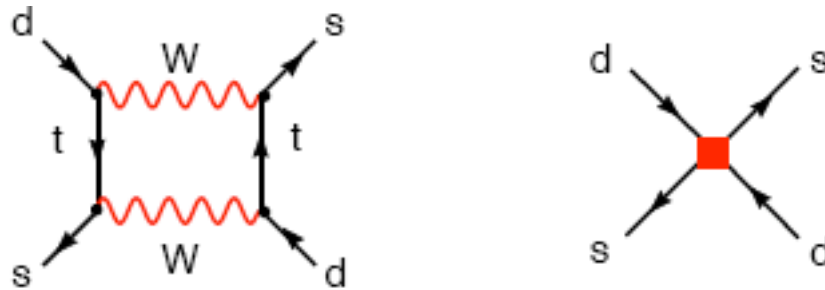
$$c^{SM}/M_W^2 \times (\bar{s}d)^2 + c^{New}/\Lambda_{NP}^2 \times (\bar{s}d)^2 \quad \Rightarrow \quad \Lambda_{NP} > 10^4 \text{ TeV}$$

(tree-level, generic new physics)

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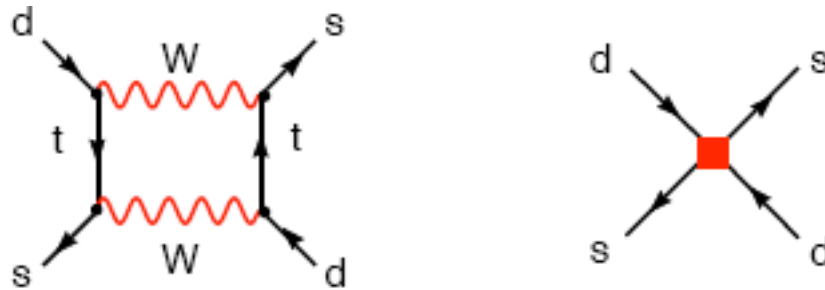
- Natural stabilisation of Higgs boson mass (hierarchy problem)
(i.e. supersymmetry, little Higgs, extra dimensions) $\Rightarrow \Lambda_{NP} \leq 1 \text{ TeV}$
- EW precision data \leftrightarrow little hierarchy problem $\Rightarrow \Lambda_{NP} \sim 3 - 10 \text{ TeV}$

Possible New Physics at the TeV scale has to have a very non-generic flavour structure

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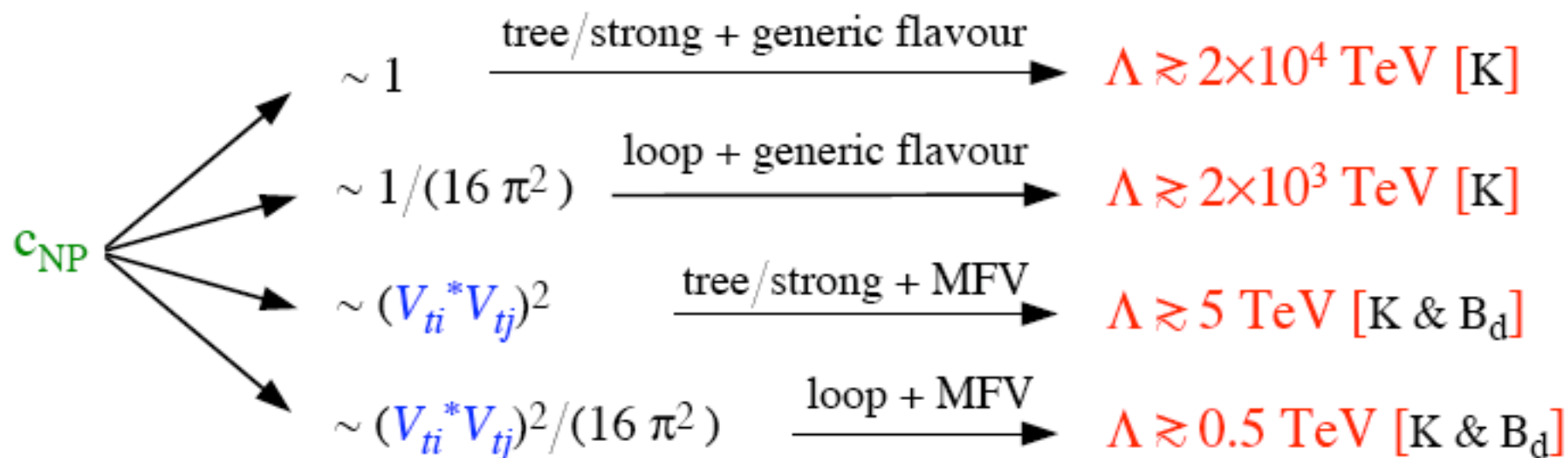
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Ambiguity of new physics scale from flavour data

$$(C_{SM}^i/M_W + C_{NP}^i/\Lambda_{NP}) \times \mathcal{O}_i$$

More details

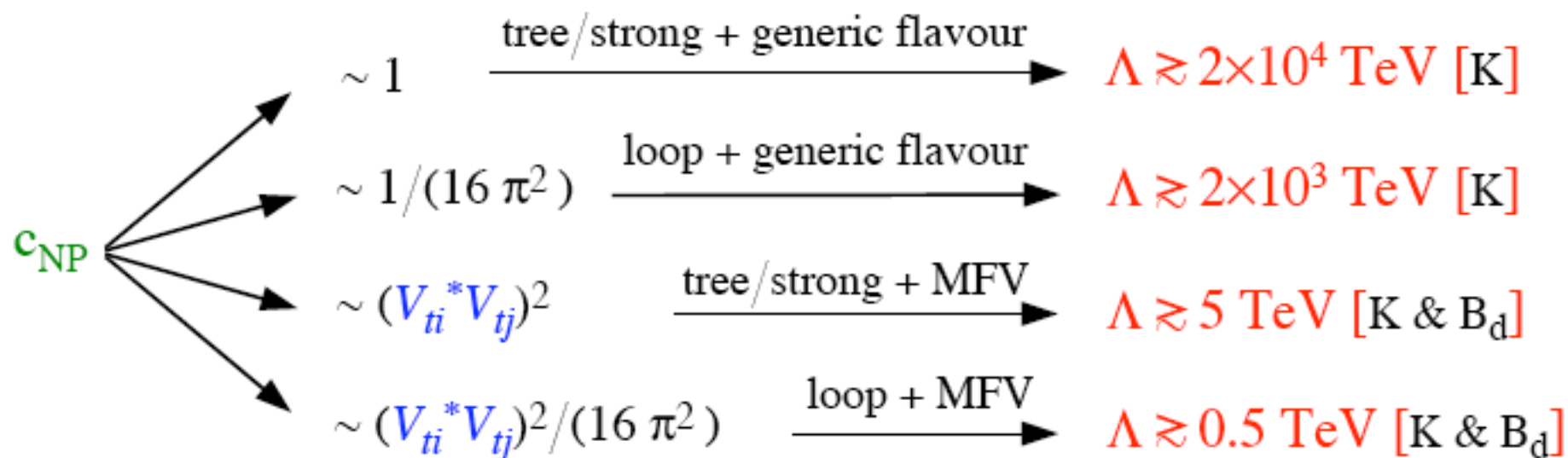
$$M(B_d - \bar{B}_d) \sim \frac{(V_{tb}^* V_{td})^2}{16 \pi^2 M_w^2} + \underbrace{c_{\text{NP}} \frac{1}{\Lambda^2}}_{\text{contribution of the new heavy degrees of freedom}}$$



Courtesy of Gino Isidori

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Courtesy of Gino Isidori

Formal solution: Minimal flavour violation

The flavour symmetry $SU(3)_{Q_L} \times SU(3)_{U_R} \times SU(3)_{D_R}$

is broken by the Yukawa couplings only as in the SM

$$Y_D (3, 1, \bar{3}); Y_U (3, \bar{3}, 1)$$

Example: Supersymmetry

- In the general MSSM too many contributions to flavour violation
 - CKM-induced contributions from H^+ , χ^+ exchanges (quark mixing)
 - flavour mixing in the sfermion mass matrix

Example: Supersymmetry

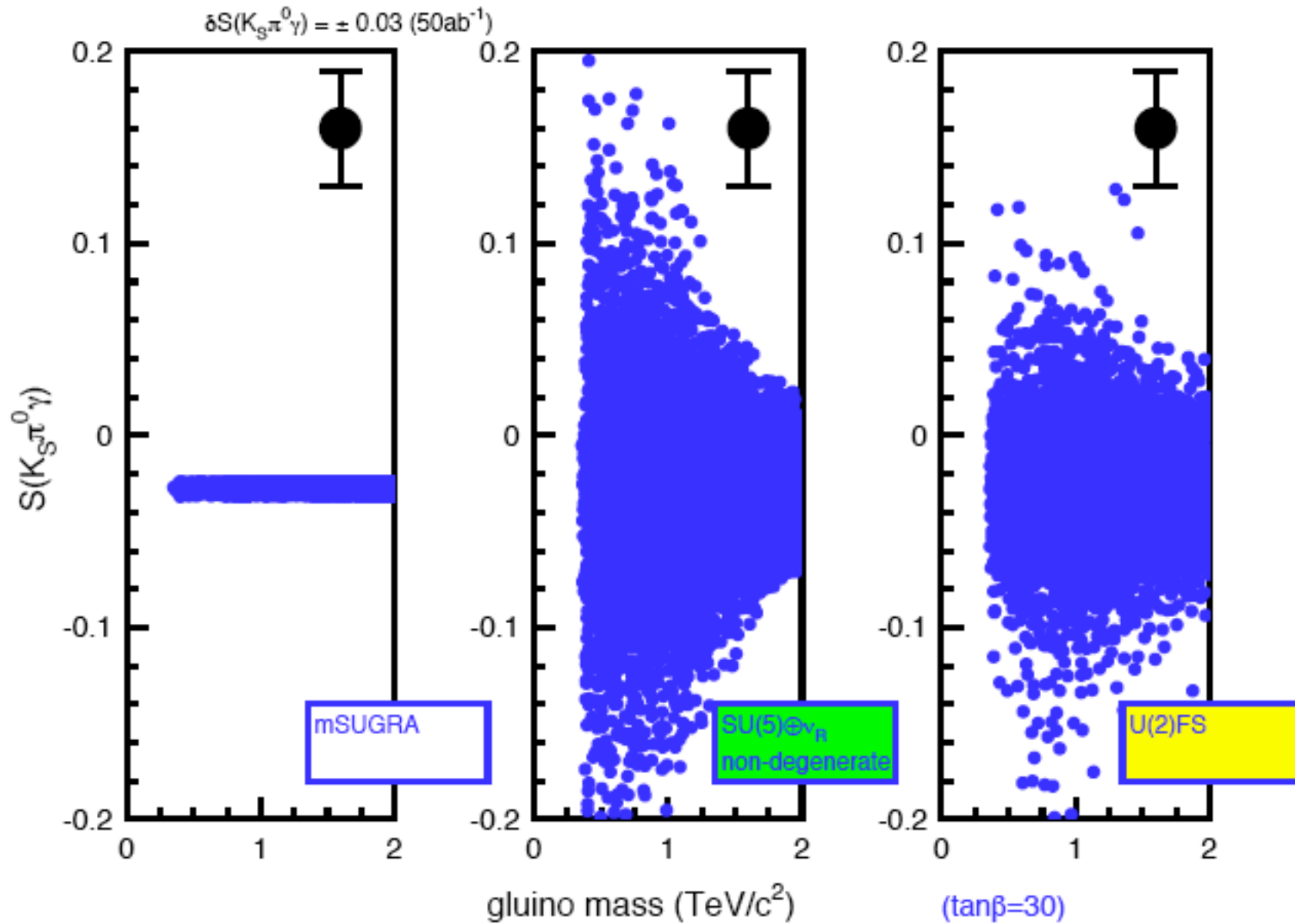
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 - Decoupling: Sfermion mass scale high (i.e. split supersymmetry)
 - Super-GIM: Sfermion masses almost degenerate (i.e. gauge-mediated supersymmetry breaking)
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- Dynamics of flavour \leftrightarrow mechanism of SUSY breaking ($BR(b \rightarrow s\gamma) = 0$ in exact supersymmetry)

⇒ Discrimination between various SUSY-breaking mechanism

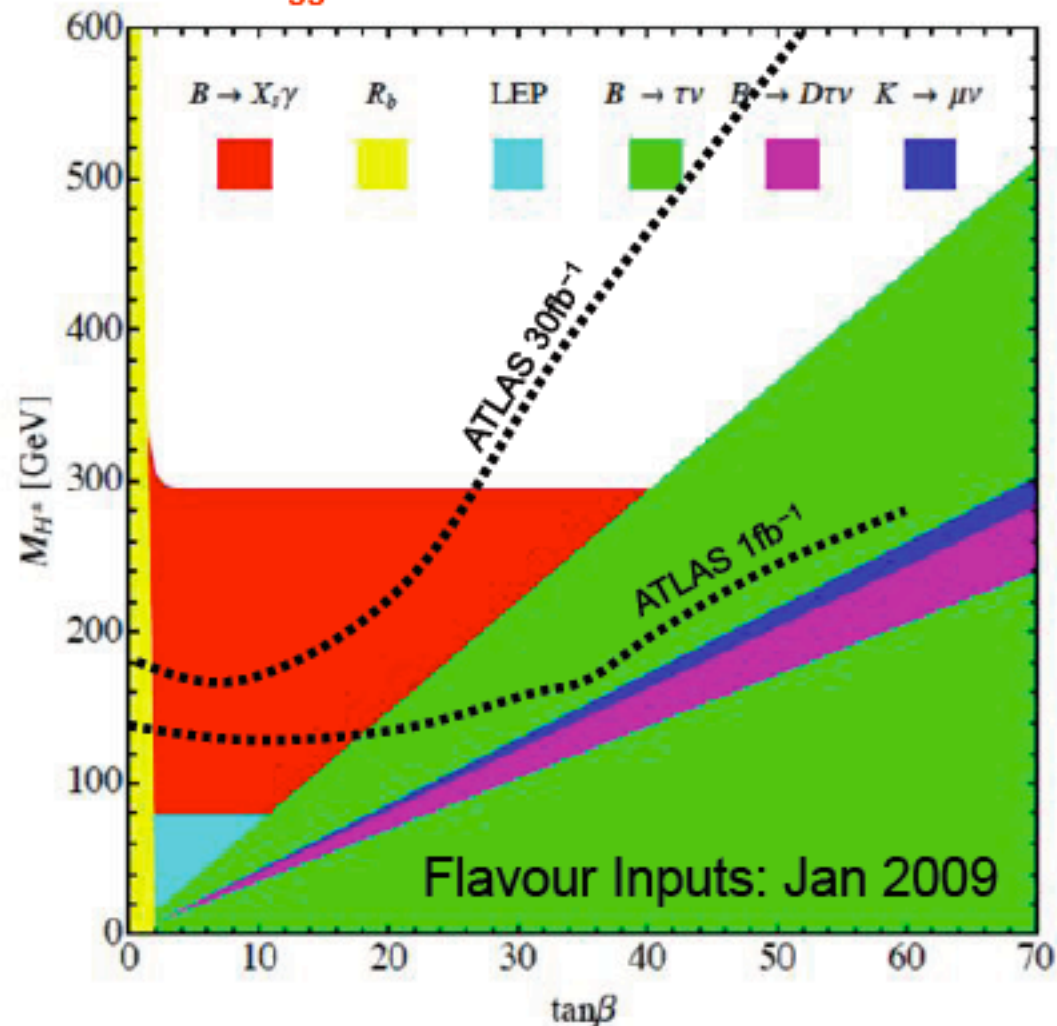
Goto, Okada, Shindou, Tanaka, arXiv:0711.2935



● Expected Super-*B* sensitivity (50ab⁻¹)

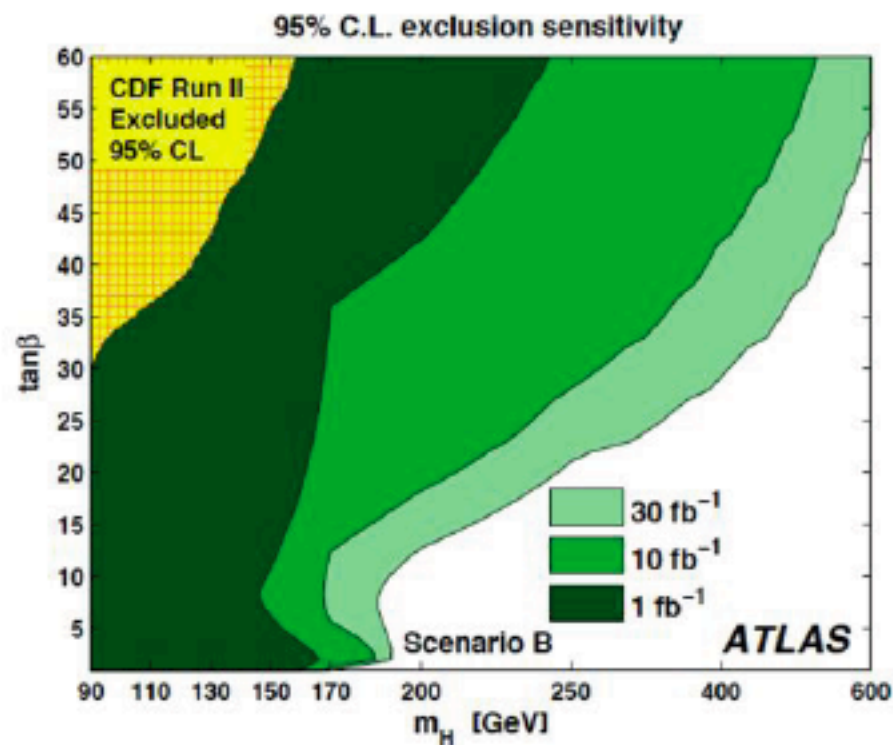
LHC versus Flavour constraints

Combined Higgs search constraint from ATLAS: arXiv:0901.1502



U. Haisch 0805.2141
 2HDM (at FPCP 2008)

Converted constraints expected from ATLAS onto the plot by hand.



Courtesy of Adrian Bevan

⇒ CERN workshop on the interplay of flavour and collider physics
Fleischer, Hurth, Mangano see <http://mlm.home.cern.ch/mlm/FlavLHC.html>

Flavour in the era of the LHC

a Workshop on the interplay of flavour and collider physics

First meeting:
CERN, November 7-10 2005

<http://mlm.home.cern.ch/mlm/FlavLHC.html>

Local Organizing Committees

- A. Gacesa (CERN, Geneva)
- D. Denegri (INFN, Padova)
- J. Drees (DFP, Garching)
- B. Hahn (CERN, Geneva)
- G. Gollub (CERN, Geneva)
- T. Plehn (CERN, Geneva)
- M. Mangano (CERN, Geneva)
- T. Plehn (EPFL, Lausanne)
- G. Aad (CERN, Geneva)
- M. Saito (CERN, Geneva)

International Advisory Committee

- A. Ali (CERN, Geneva)
- A. Belyaev (ITEP, Moscow)
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- H. Huet (CERN, Geneva)
- A. Jais (CERN, Geneva)
- T. Plehn (CERN, Geneva)
- S. Pokorski (CERN, Geneva)
- M. Schmier (CERN, Geneva)
- R. Storz (CERN, Geneva)

5 meetings between 11/2005 and 3/2007

arXiv:0801.1800 [hep-ph] "Collider aspects of flavour physics at high Q"

arXiv:0801.1833 [hep-ph] "B, D and K decays"

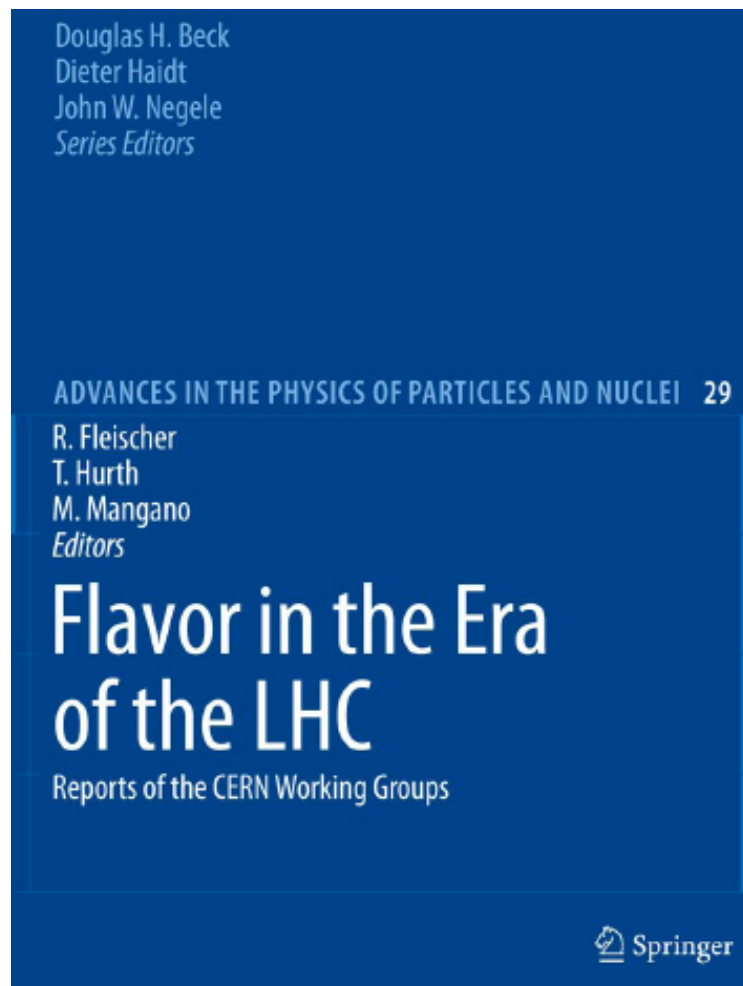
arXiv:0801.1826 [hep-ph] "Flavour physics of leptons and dipole moments"

published in EPJC 57 (2008) 1-492

and in Advances in the Physics of Particles and Nuclei, Vol 29, 480p, 2009

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**Reference book for flavour physics
in the LHC era**

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arXiv:0801.1826 [hep-ph] "Flavour physics of leptons and dipole moments"

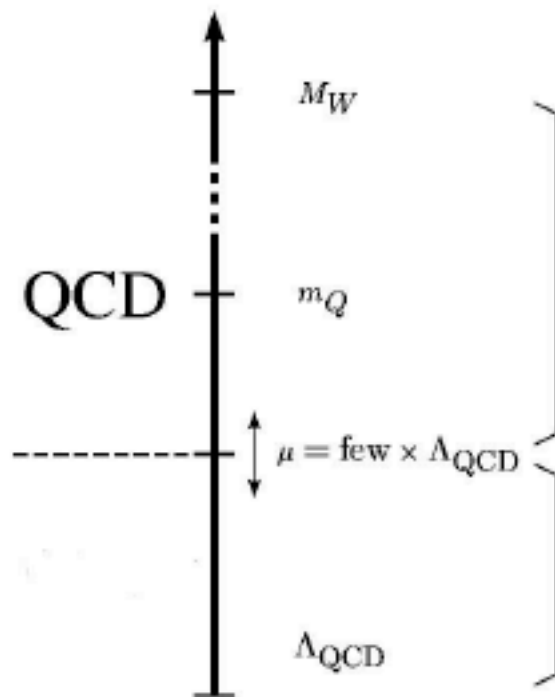
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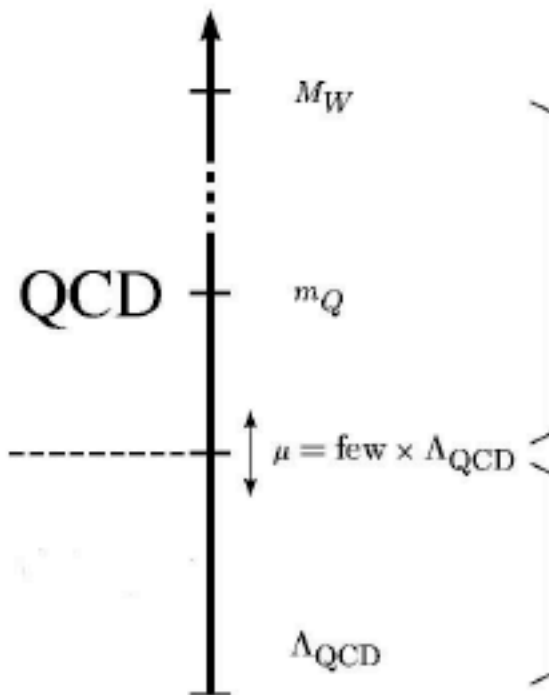
Strong interaction in B decays

short-distance physics
perturbative

long-distance physics
nonperturbative



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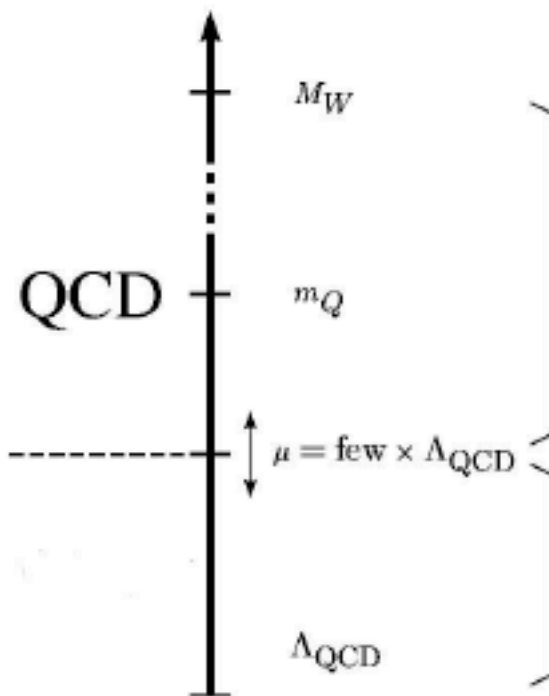
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Operator product expansion: Factorization of short- and long-distance physics

- $\mu^2 \approx M_W^2$: C_i : effective couplings, $\langle \mathcal{O}_i \rangle$: matrix elements

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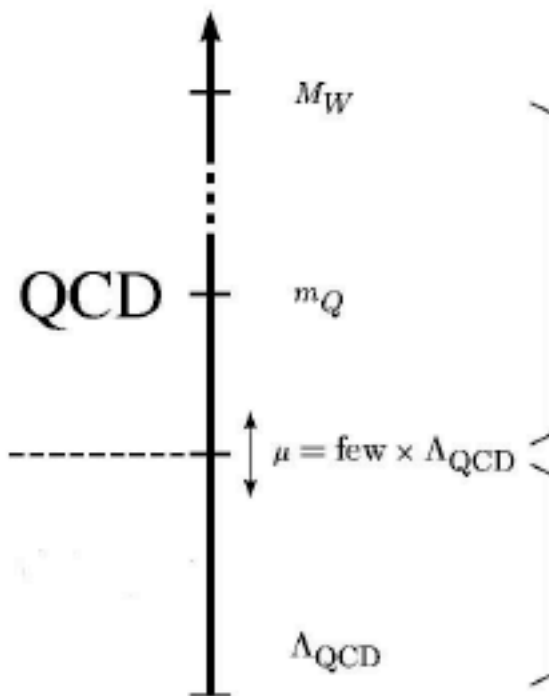
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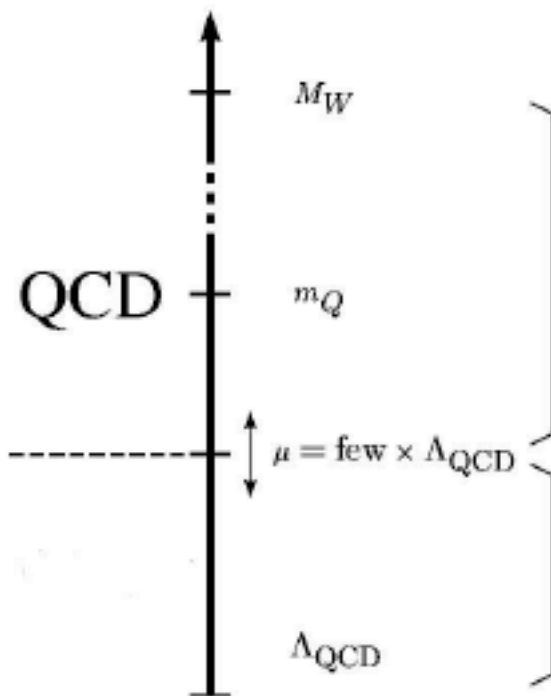
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- $\mu^2 \approx \Lambda_{QCD}^2$: long-distance hadronic parameters (lattice-QCD, U-spin symmetry, QCD sum rules, chiral perturbation theory, ...)
- $\mu^2 \approx M_{New}^2 \gg M_W^2$: 'new physics' effects: $C_i^{SM}(M_W) + C_i^{New}(M_W)$

\Rightarrow Lectures by Thomas Mannel

Neutrino physics Prologue

- SM assumes neutrinos as massless particles
- Neutrino oscillation experiments have provided the first signal of physics beyond the SM! [Phys.Rev.Lett. 81 \(1998\) 1562](#)
 - neutrinos have nonzero mass
 - lepton flavour is violated
- So far there is no experimental data that indicates that lepton number is also broken (Majorana neutrinos)

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Crucial fundamental questions

- Majorana, Dirac masses?
- How to add neutrino masses to the SM?

For phenomenology of neutrinos and lepton flavour violation

⇒ Lectures by Sacha Davidson

SM picture: massless, hence degenerate neutrinos

⇒ Separate conservation on e, μ, τ lepton numbers

- any unitary transformed ν state can be taken as mass eigenstates
- processes like $\mu \rightarrow e\gamma$ are forbidden to all orders
- assumption of one Higgs-doublet made here

Majorana mass term

- $SO(3, 1)$ is locally isomorphic to $SU(2) \times SU(2)$

Representations $(1/2, 0)$ and $(0, 1/2)$ correspond to Weyl spinors:

$$(1/2, 0) \quad \chi \rightarrow e^{-\frac{i}{2}\sigma\cdot\theta}\chi, \quad \chi \rightarrow e^{-\frac{1}{2}\sigma\cdot\eta}\chi$$

$$(0, 1/2) \quad \chi \rightarrow e^{-\frac{i}{2}\sigma\cdot\theta}\chi, \quad \chi \rightarrow e^{+\frac{1}{2}\sigma\cdot\eta}\chi$$

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- Invariant tensor of $SL(2, \mathcal{C})$ $M^T \epsilon M = \epsilon, \quad \epsilon \equiv i\sigma_2$

Simplest Lorentz-invariant mass term of a single Weyl spinor:

$$\mathcal{L} = \frac{1}{2}m(\chi^T \epsilon \chi + h.c.)$$

- **Lemma:** If χ transforms under a complex or pseudoreal representation of an unbroken global or local internal symmetry, a Majorana mass is forbidden.

$$\chi \rightarrow U\chi \quad \text{unitary transformation} \quad \chi^T \epsilon \chi \rightarrow \chi^T U^T \epsilon U \chi = \chi^T \epsilon U^T U \chi$$

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- **Physically,** a fermion with a Majorana mass is its own antiparticle (Majorana fermion) cannot carry an unbroken global or local $U(1)$ (or, more generally, transform under a complex or pseudoreal representation) because **a particle and an antiparticle must carry opposite charge.**

Dirac mass term

- **Way out:** One needs to introduce a second Weyl fermion that transforms under the complex-conjugate representation in order to construct a mass term.

$$\mathcal{L} = m(\xi^T \epsilon \chi + h.c.)$$

$$\chi \rightarrow U\chi \quad \xi \rightarrow U^*\xi \quad \xi^T \epsilon \chi \rightarrow \xi^T U^\dagger \epsilon U\chi = \xi^T \epsilon \chi$$

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- Dirac spinor $\psi = \begin{pmatrix} \chi \\ \epsilon \xi^* \end{pmatrix}$

$$\begin{aligned} \mathcal{L} = -m\bar{\psi}\psi &= -m(\chi^\dagger, -\xi^T \epsilon) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \chi \\ \epsilon \xi^* \end{pmatrix} \\ &= m(\xi^T \epsilon \chi - \chi^\dagger \epsilon \xi^*) \end{aligned}$$

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- Charge-conjugated spinor

$$\psi^c \equiv C\gamma^0\psi^* = \begin{pmatrix} -\epsilon & 0 \\ 0 & \epsilon \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \chi^* \\ \epsilon \xi \end{pmatrix} = \begin{pmatrix} \xi \\ \epsilon \chi^* \end{pmatrix}$$

• Majorana condition $\psi_M^c = \psi_M$ $\psi_M = \begin{pmatrix} \chi \\ \epsilon\chi^* \end{pmatrix}$

$$\begin{aligned} \mathcal{L} &= -\frac{1}{2}m\bar{\psi}_M\psi_M = -\frac{1}{2}m(\chi^\dagger, -\chi^T\epsilon) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \chi \\ \epsilon\chi^* \end{pmatrix} \\ &= \frac{1}{2}m(\chi^T\epsilon\chi - \chi^\dagger\epsilon\chi^*) \end{aligned}$$

How to add neutrino masses to SM ?

First approach: Add right-handed neutrino fields N_R^j and try to construct a Dirac mass via an additional Yukawa matrix:

$$\mathcal{L}_{Yukawa} = -\Gamma_{\nu}^{ij} \bar{L}_L^i \epsilon \phi^* N_R^j + h.c. .$$

Neutrinos get a **Dirac mass** via the Higgs mechanism like the other fermions.

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- N_R is **sterile**, carries no gauge quantum numbers.
- Since N_R is sterile, the gauge symmetry allows a **Majorana mass**

in addition

$$\mathcal{L} = -\frac{1}{2} M_R^{ij} N_R^{iT} C N_R^j + h.c.$$

How to add neutrino masses to SM ?

First approach: Add right-handed neutrino fields N_R^j and try to construct a Dirac mass via an additional Yukawa matrix:

$$\mathcal{L}_{Yukawa} = -\Gamma_{\nu}^{ij} \bar{L}_L^i \epsilon \phi^* N_R^j + h.c. .$$

Neutrinos get a **Dirac mass** via the Higgs mechanism like the other fermions.

- N_R is **sterile**, carries no gauge quantum numbers.
- Since N_R is sterile, the gauge symmetry allows a **Majorana mass** in addition
$$\mathcal{L} = -\frac{1}{2} M_R^{ij} N_R^{iT} C N_R^j + h.c.$$
- One can suppress the Majorana mass by upgrading lepton number to a defining symmetry of the extended SM (better B-L)
(SM: lepton number accidental symmetry only)

Second approach: Majorana mass term via dimension-five operator

SM as low-energy effective field theory: $\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{M} \mathcal{O}^{(5)} + \frac{1}{M^2} \mathcal{O}^{(6)} + \dots$

Second approach: Majorana mass term via dimension-five operator

SM as low-energy effective field theory: $\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{M} \mathcal{O}^{(5)} + \frac{1}{M^2} \mathcal{O}^{(6)} + \dots$

There is only one dimension-5 operator compatible with gauge symmetries and field content of SM:

$$\mathcal{L}_5 = \frac{c^{ij}}{M} L_L^{iT} \epsilon \phi C \phi^T \epsilon L_L^j + h.c. \quad \Rightarrow \quad \mathcal{L}_{Maj} = -\frac{c^{ij}}{2} \frac{v^2}{M} \nu_L^{iT} C \nu_L^j + h.c.$$

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- Lepton number is violated again.
 - lepton number is only a low-energy accidental symmetry.
- Neutrino masses of order v^2/M :
 - natural explanation why neutrino masses are small

Variation of second approach: Add sterile N_R with large mass M_R

$$\mathcal{L} = -\bar{L}_L \Gamma_\nu \epsilon \phi^* N_R - \frac{1}{2} N_R^T M_R C N_R + h.c.$$

Variation of second approach: Add sterile N_R with large mass M_R

$$\mathcal{L} = -\bar{L}_L \Gamma_\nu \epsilon \phi^* N_R - \frac{1}{2} N_R^T M_R C N_R + h.c.$$

Integrating out heavy neutrinos N_R :

$$\frac{\partial \mathcal{L}}{\partial N_R} = -\bar{L}_L \Gamma_\nu \epsilon \phi^* - N_R^T M_R C + h.c. \quad N_R = \phi^\dagger \epsilon C \gamma^0 (\Gamma_\nu M_R^{-1})^T L_L^* .$$

$$\mathcal{L} = \frac{1}{2} L_L^\dagger \epsilon \phi^* C \Gamma_\nu (\Gamma_\nu M_R^{-1})^T \phi^\dagger \epsilon L^* + h.c.$$

$$\Rightarrow \mathcal{L}_{Maj} = -\frac{c^{ij}}{M} \frac{v^2}{2} \nu_L^{iT} C \nu_L^j + h.c.$$

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$$\Rightarrow \mathcal{L}_{Maj} = -\frac{c^{ij}}{M} \frac{v^2}{2} \nu_L^{iT} C \nu_L^j + h.c. \quad \frac{c^\dagger}{M} = -\frac{1}{2} \Gamma_\nu (\Gamma_\nu M_R^{-1})^T$$

Seesaw formalism

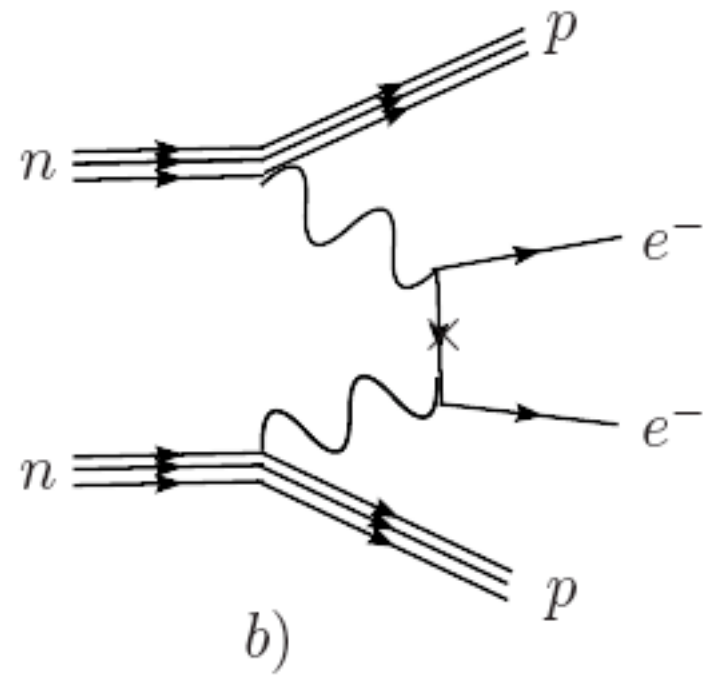
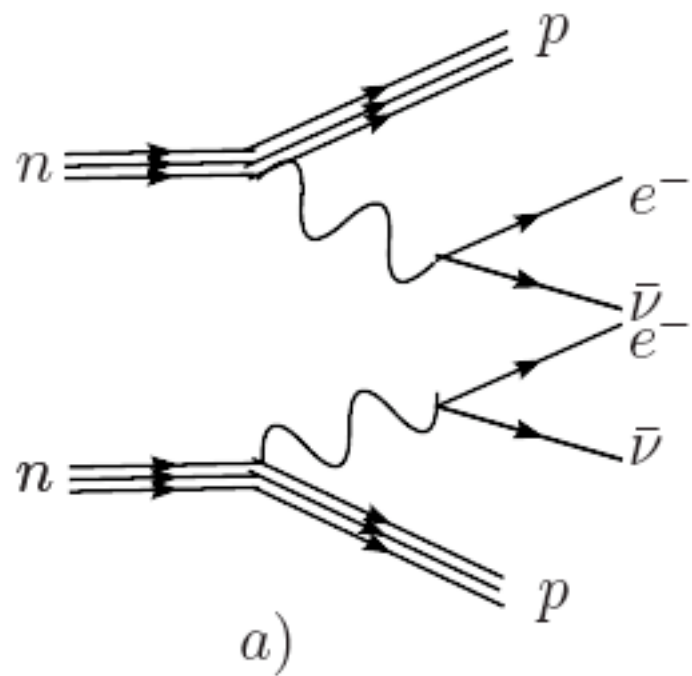
Third approach: Extend the Higgs sector by a **Higgs Triplet**
to allow for a Majorana mass term on the tree level

⇒ Exercises

Dirac versus Majorana neutrinos

Dirac versus Majorana neutrinos

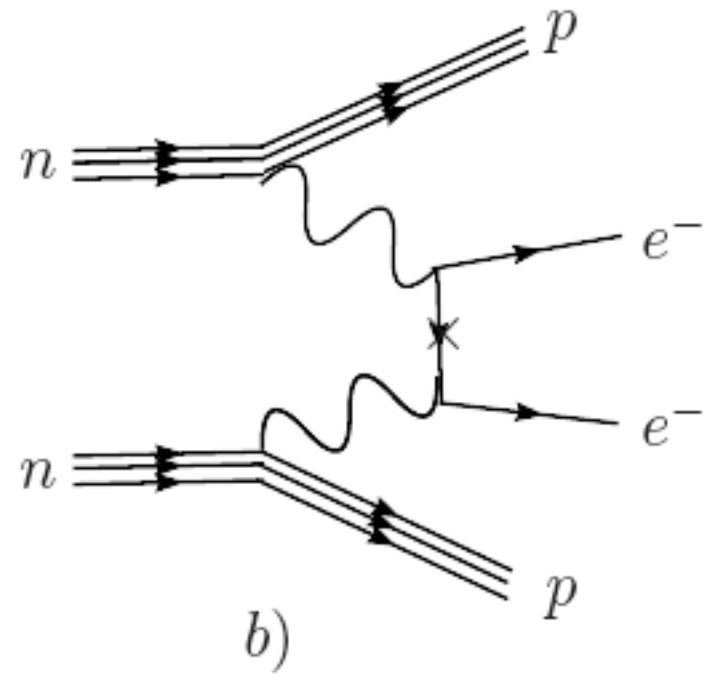
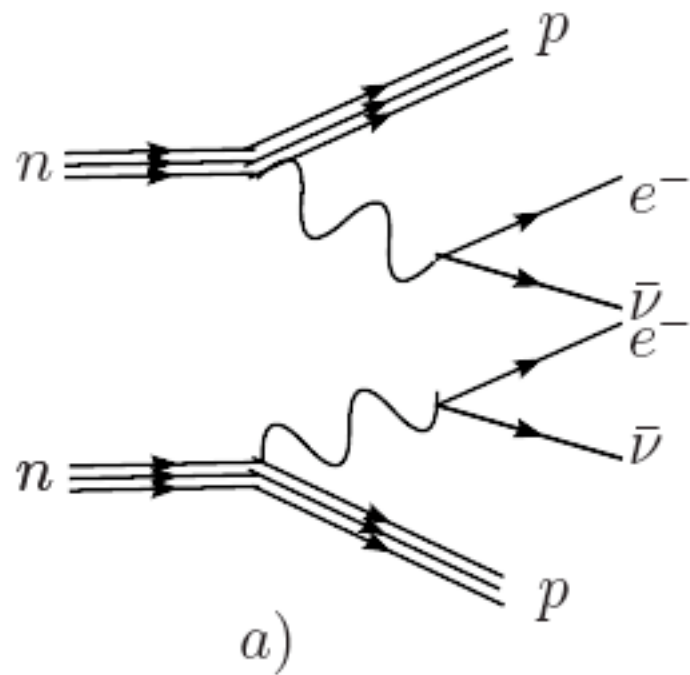
Double- β decay



Double- β decay amplitudes with 2 neutrinos (a) and without neutrinos (b).

Dirac versus Majorana neutrinos

Double- β decay



Double- β decay amplitudes with 2 neutrinos (a) and without neutrinos (b).

Two more CP phases in the MNS-mixing matrix

No freedom to rephase the fields of the Majorana neutrinos

Anyone who keeps the ability to see beauty never grows old !

Franz Kafka

The lecturer thanks the organisers of the school for the invitation and the excellent environment and the students for all their questions. He also gratefully acknowledges technical support by O. Felix-Beltran and F. Gonzales-Canales. The lecturer has used the following excellent books, reviews and reports for this presentation.

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